The top quark legacy of the LHC Run II for PDF and SMEFT analyses

MANCHESTER

The University of Manchester

James Moore, University of Cambridge

for HEFT 2023, in Manchester









European Research Council

Established by the European Commission

PBSP: Physics Beyond the Standard Proton

- The PBSP group is based at the University of Cambridge, and is headed by Maria Ubiali; the project is ERC-funded.
- The aim is to investigate interplay between BSM physics and proton structure - the subject of the rest of this talk!
- The team members are:
 - Postdocs: Zahari Kassabov, Maeve Madigan, Luca Mantani
 - PhD students: Mark Costantini, Shayan Iranipour (former), Elie Hammou, **James Moore**, Manuel Morales, Cameron Voisey (former)





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Talk overview **1. Joint PDF-SMEFT fits**

2. The SIMUnet methodology

3. The top quark legacy of the LHC Run II for PDF and SMEFT analyses

1. - Joint PDF-SMEFT fits

• Fits of parton distributions and SMEFT Wilson coefficients do not normally talk...

PDF parameter fits

• Fix SMEFT parameters (usually to zero), $c = \overline{c}$:

 $\sigma(\overline{c},\theta) = \hat{\sigma}(\overline{c}) \otimes \mathsf{PDF}(\theta)$

Optimal PDF parameters θ^* then have an • **implicit dependence** on initial SMEFT parameter choice: $PDF(\theta^*) \equiv PDF(\theta^*(\overline{c}))$.

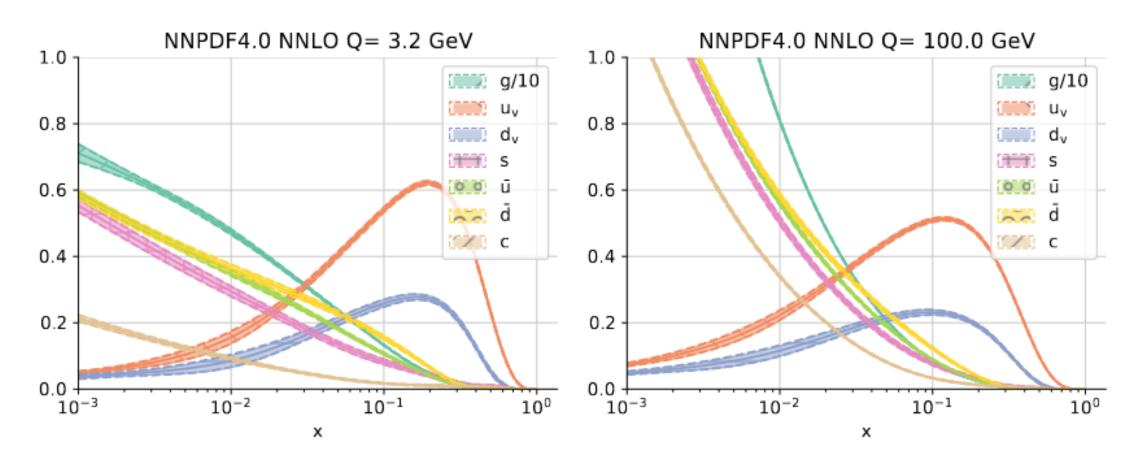
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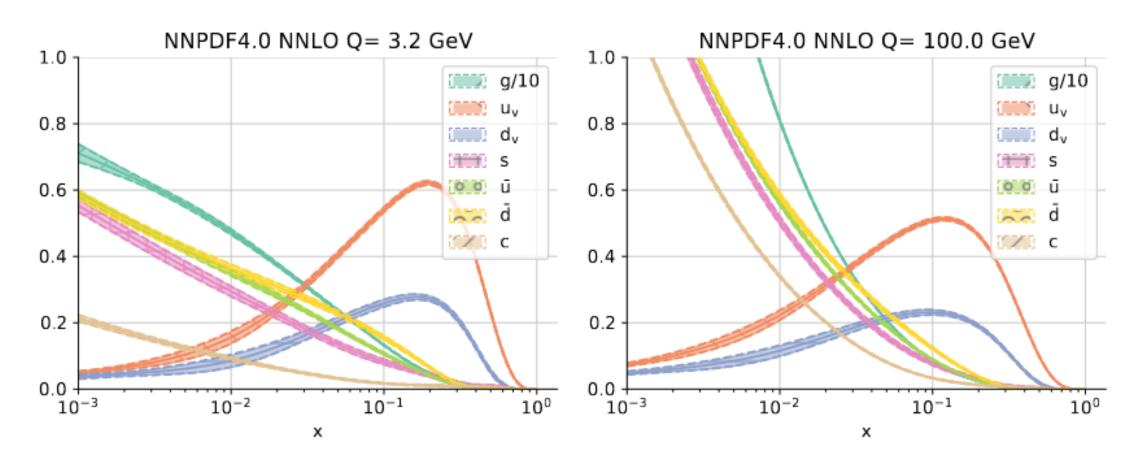
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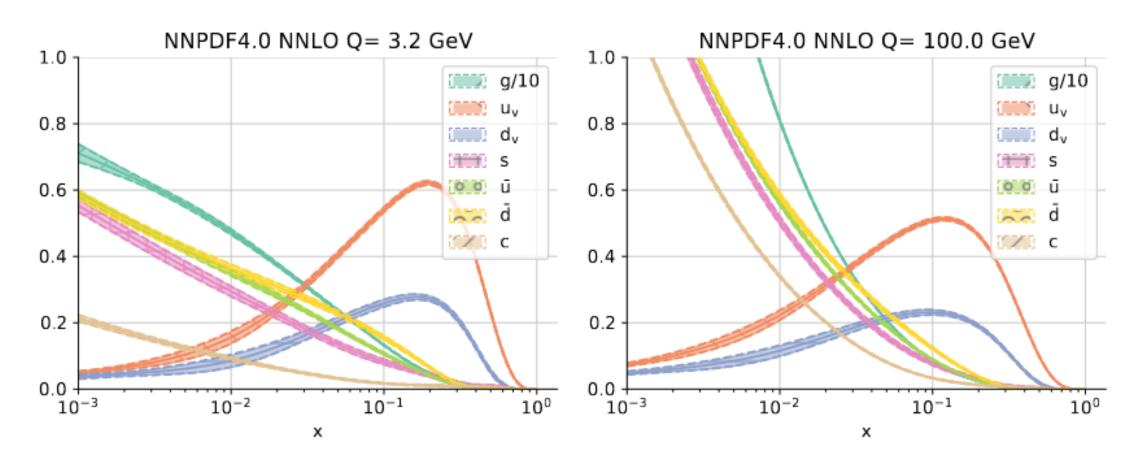
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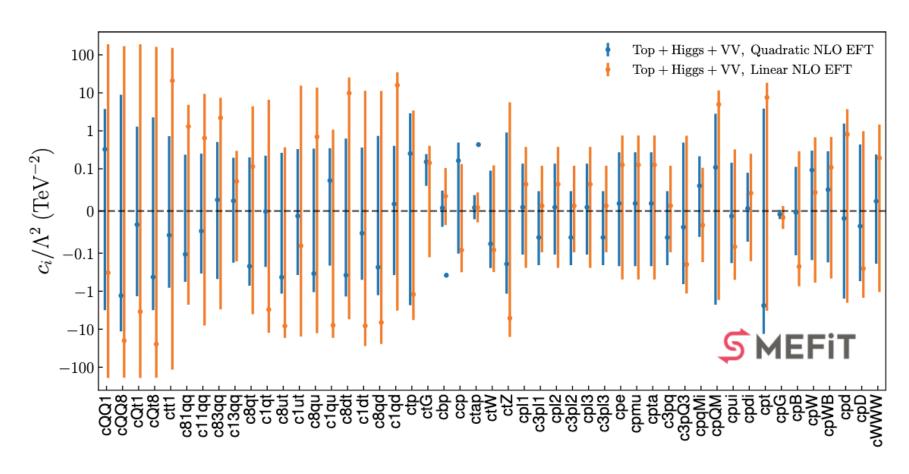
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- E.g. SMEFiT, Ethier et al., 2105.00006.

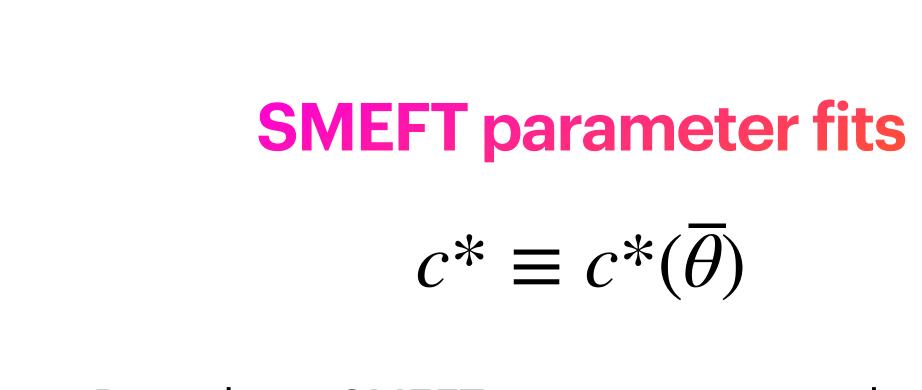


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PDF parameter fits

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 Bounds on SMEFT parameters can depend implicitly on the fixed PDF set used in the fit.

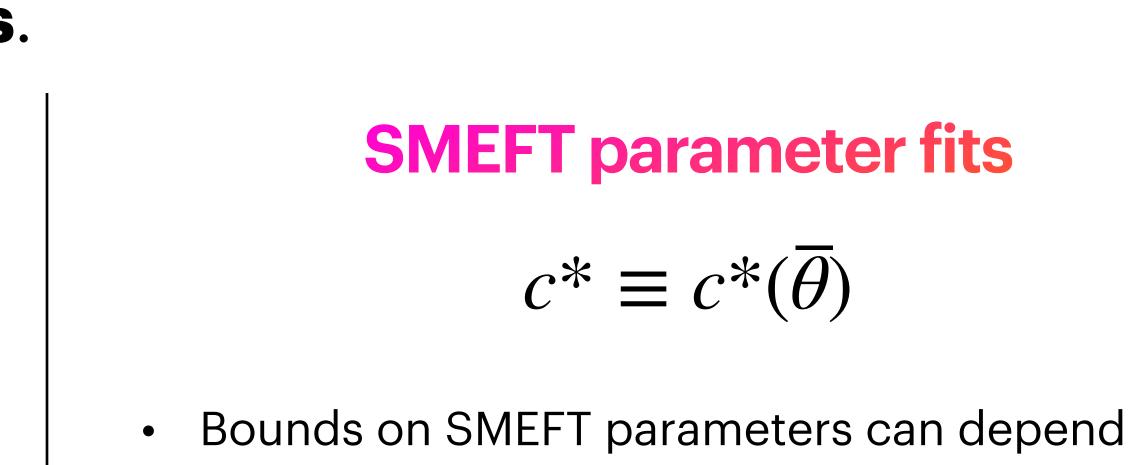
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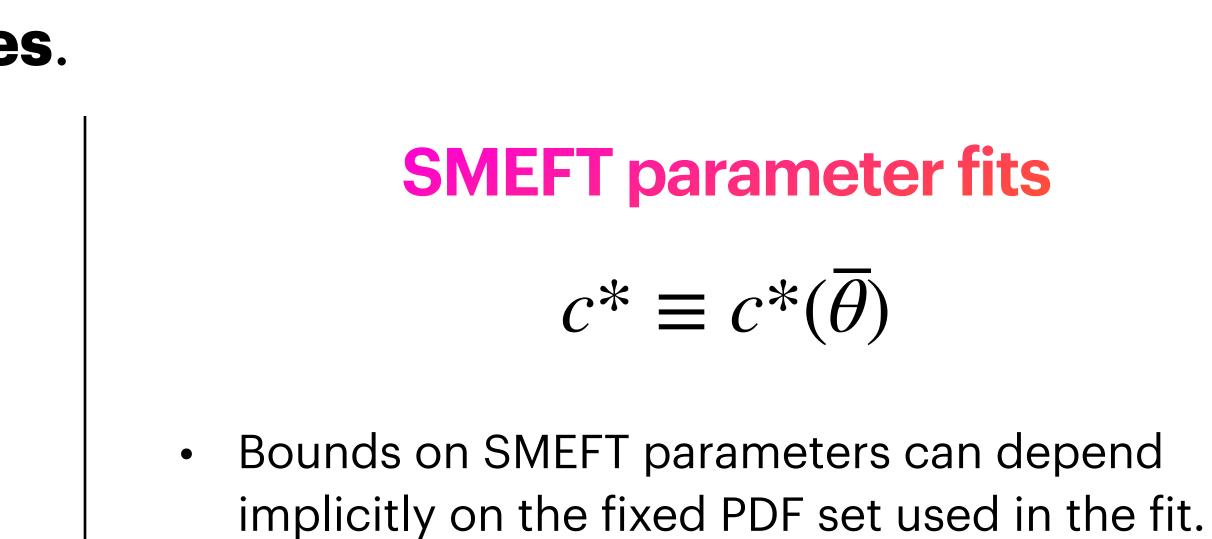
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PDF parameter fits

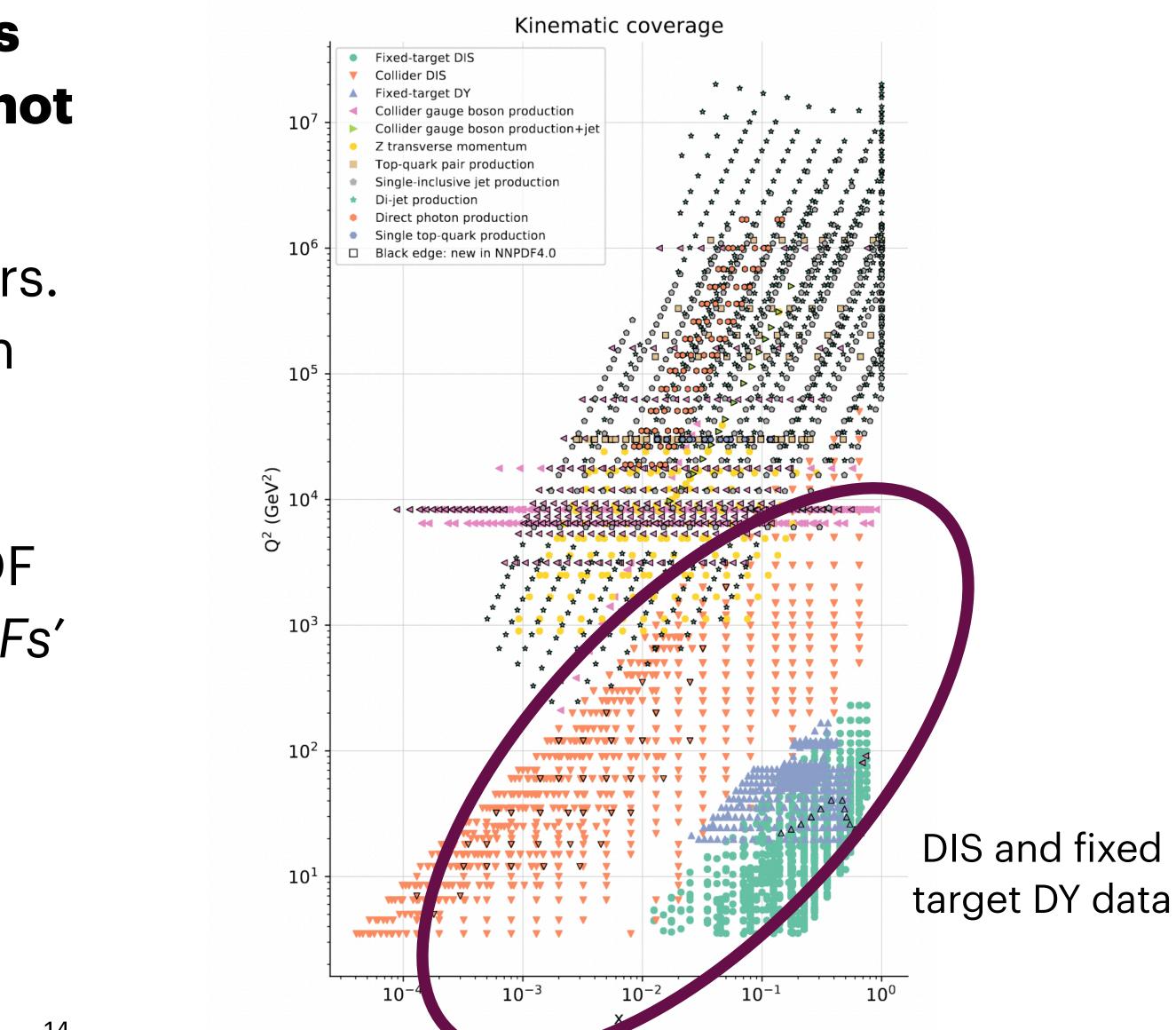
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 - In particular, if we fit PDFs assuming all SMEFT couplings are zero, but then use those PDFs in a fit of SMEFT couplings, our resulting bounds could be misleading. The same applies to SM parameters.
 - We could even miss New Physics, or see New Physics that isn't really there!

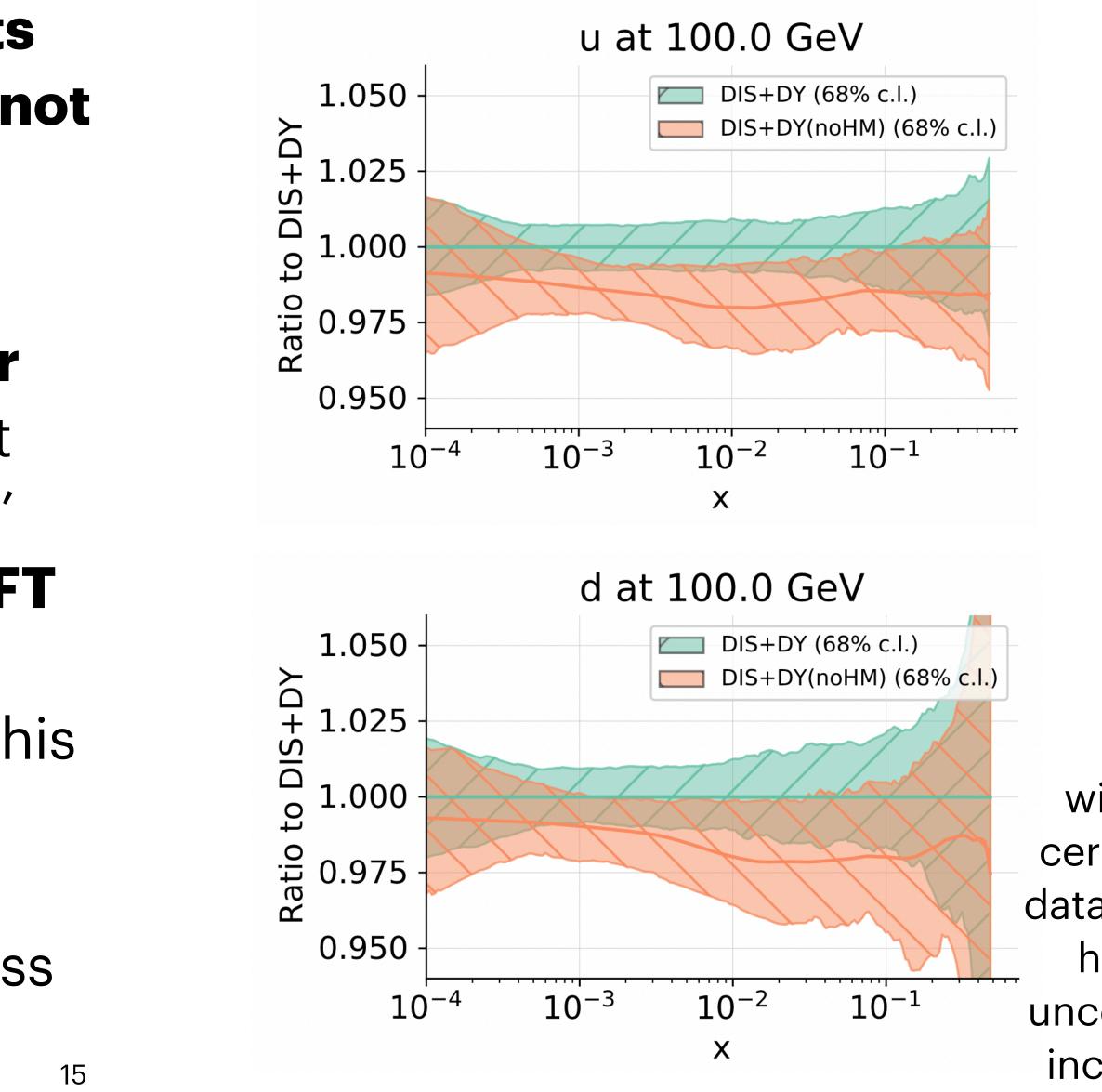


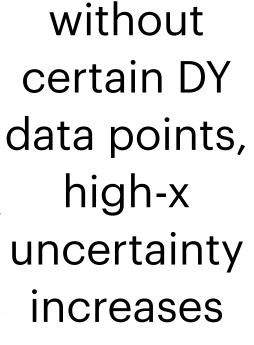
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 - It depends on the SMEFT operators. Some operators (e.g. four-fermion operators) will **contaminate DIS** and DY data, which comprise the majority of the data going into PDF fits. So often 'uncontaminated PDFs' don't exist!
 - Right: kinematic coverage of NNPDF4.0 by dataset.



- Question 1: Can't I just use PDF sets which are fitted using data that is not affected by SMEFT operators?
 - Furthermore, if we include more data in a PDF fit, we obtain **better** quality fits. Therefore, we expect that using 'uncontaminated PDFs' will result in poorer quality SMEFT fits; we won't be using the 'best' quality' PDFs that are available - this is shown explicitly in Greljo et al., 2104.02723, where PDF sets including and excluding high-mass DY data are compared.



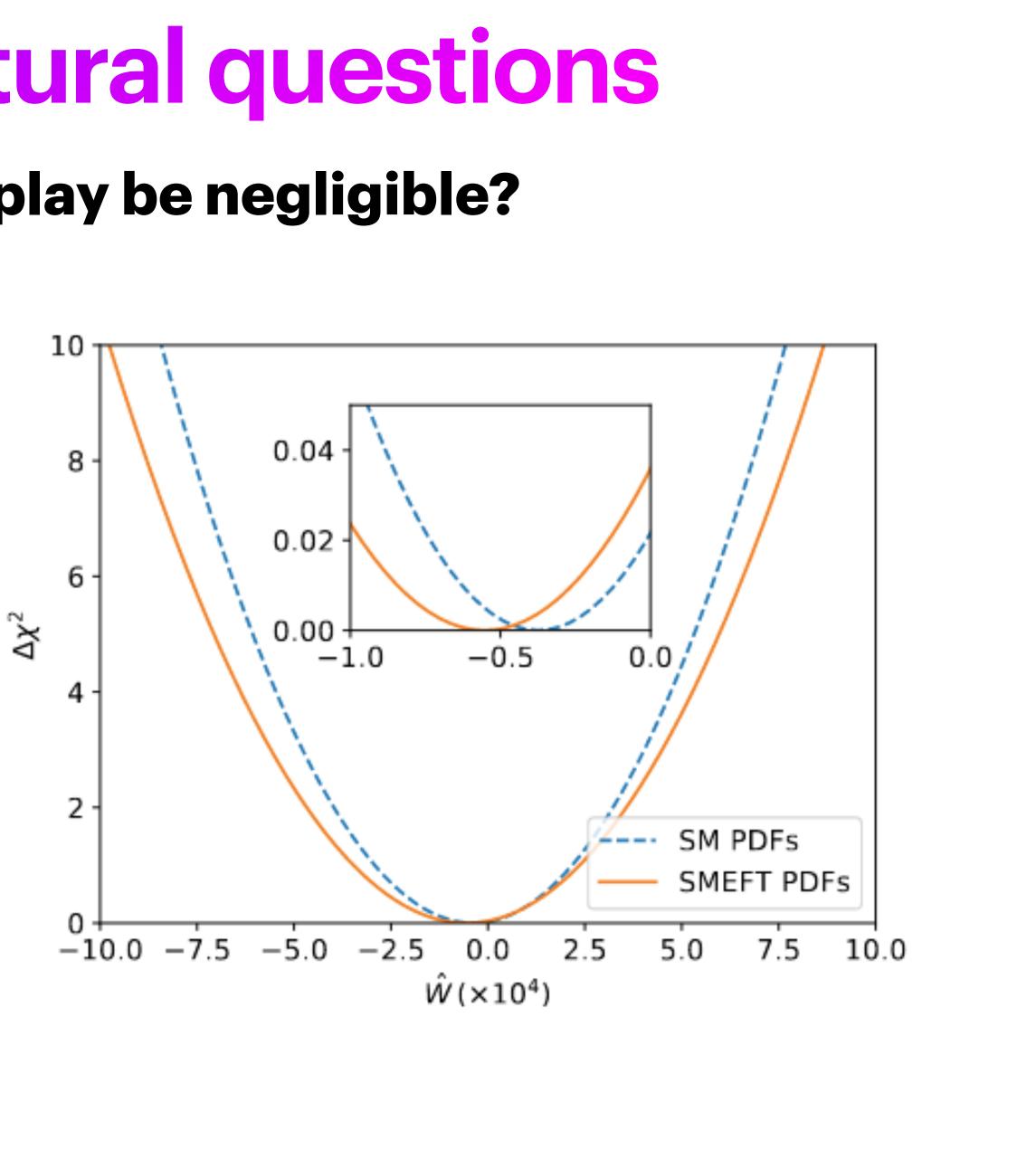


• Question 2: Won't the PDF-SMEFT interplay be negligible?

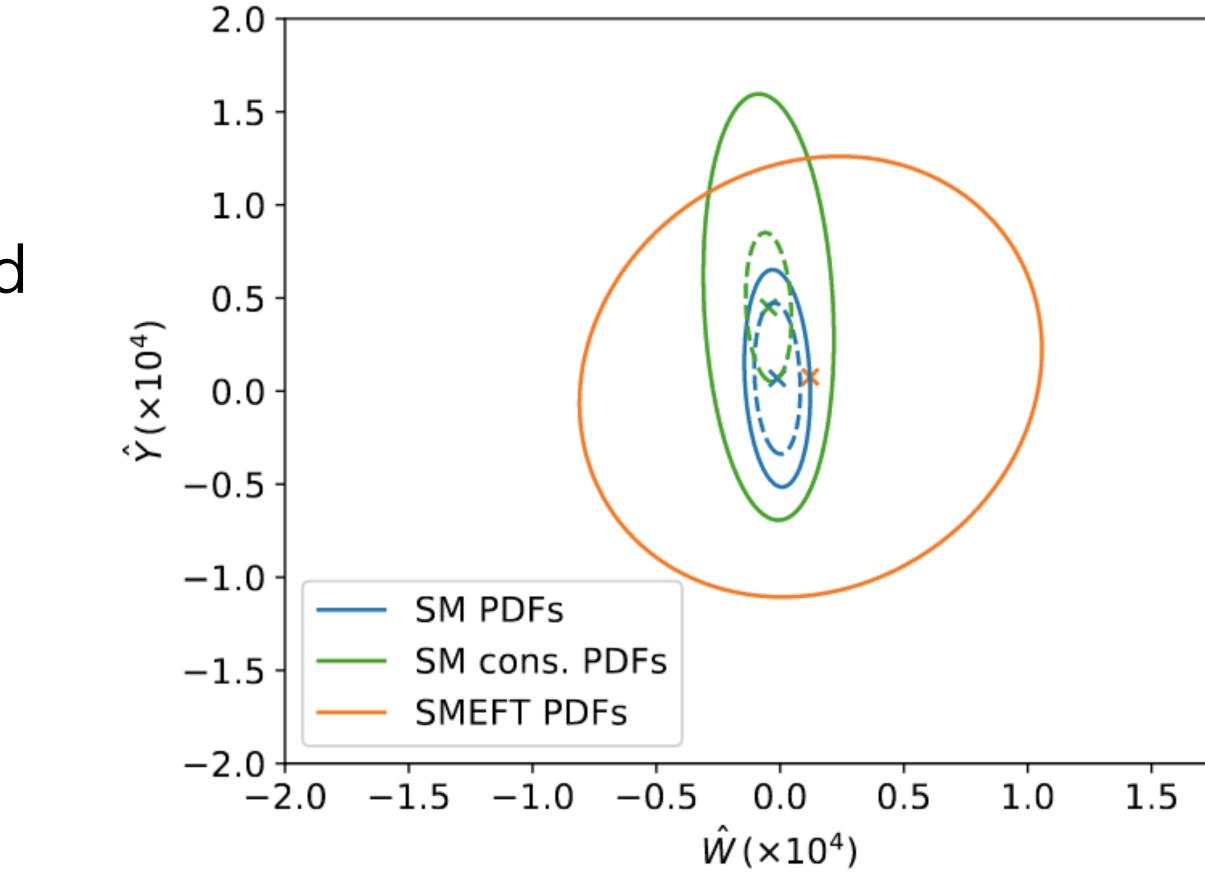
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 - It depends on the scenario!
 - It was shown in Carrazza et al., 1905.05215, that interplay is very mild in the case of simultaneous extractions of four-fermion operators and PDFs using DIS-only data.
 - Similarly, it was shown in the PBSP team's earlier study, Greljo et al., 2104.02723, that interplay is mild between the W, Y operators and PDFs using current DIS and DY data.

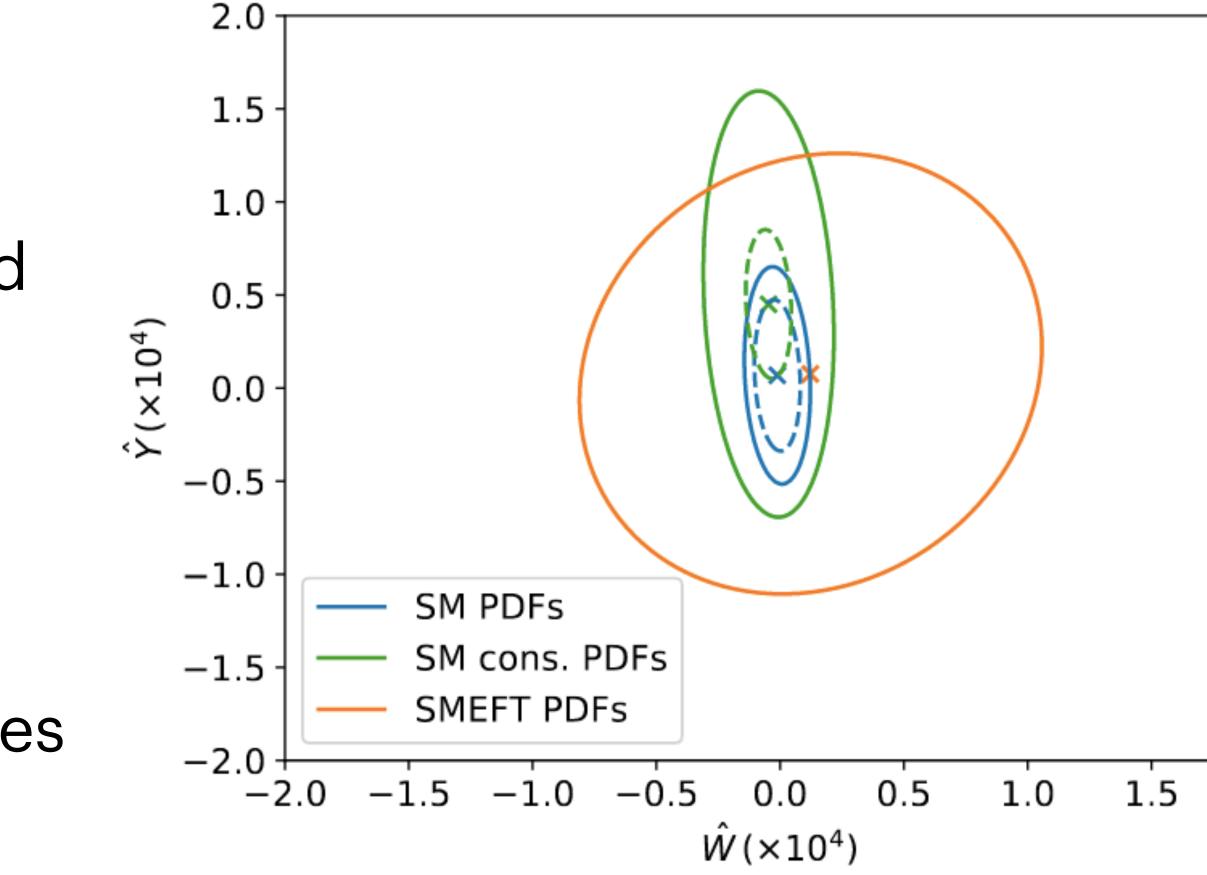


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 - However, it was also shown in Greljo et al., 2104.02723, that interplay is **very significant** between the \hat{W} , \hat{Y} operators and PDFs using projected high**luminosity DY data**.





- Question 2: Won't the PDF-SMEFT interplay be negligible?
 - However, it was also shown in Greljo et al., 2104.02723, that interplay is **very significant** between the \hat{W} , \hat{Y} operators and PDFs using projected high**luminosity DY data**.
 - We see that using fixed PDFs results in a **significant** underestimation of uncertainties on the WCs - we might wrongly conclude **New Physics**!





2. - The SIMUnet methodology for joint PDF-SMEFT fits

PDF-SMEFT interplay: methodology

we now need an **efficient methodology** to perform the fits.

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PDF-SMEFT interplay: methodology

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• There are three main methodologies available: (i) a **scan** in Wilson methodology (see 2201.06586 and 2211.01094); (iii) the **SIMUnet** methodology (see 2201.07240).

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• We will focus only on **SIMUnet**.

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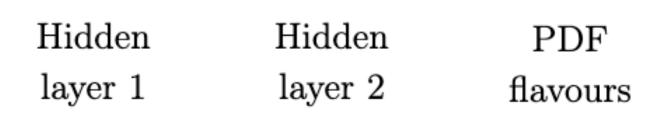
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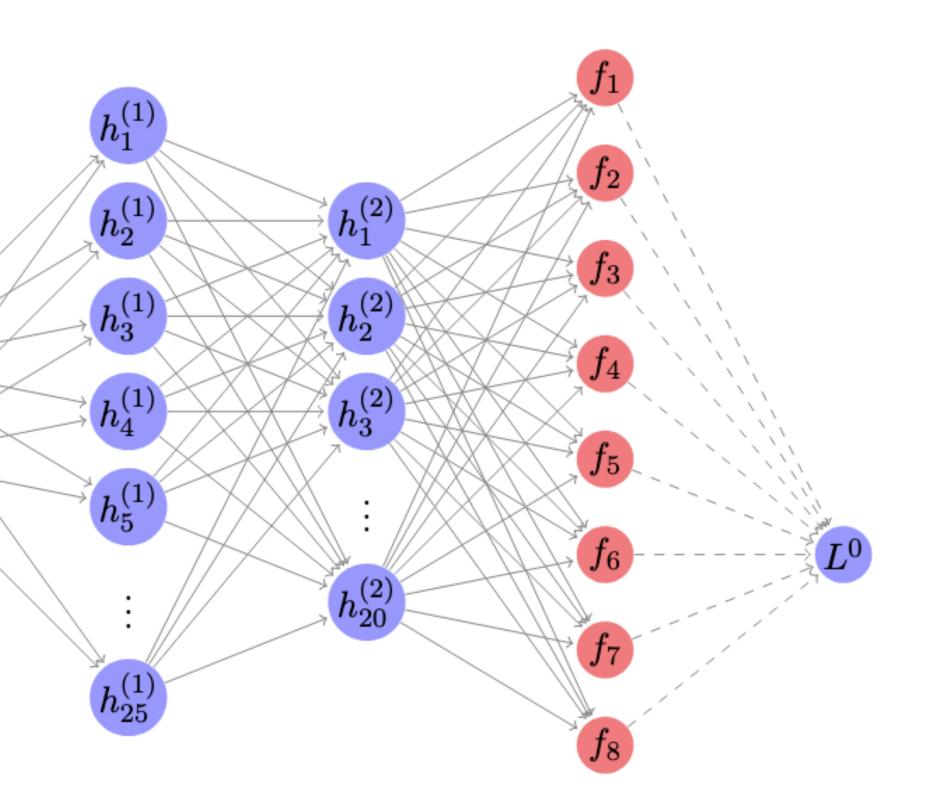
 The SIMUnet methodology extends the existing NNPDF neural network with an additional convolution layer.

Input layer

 $\ln x$





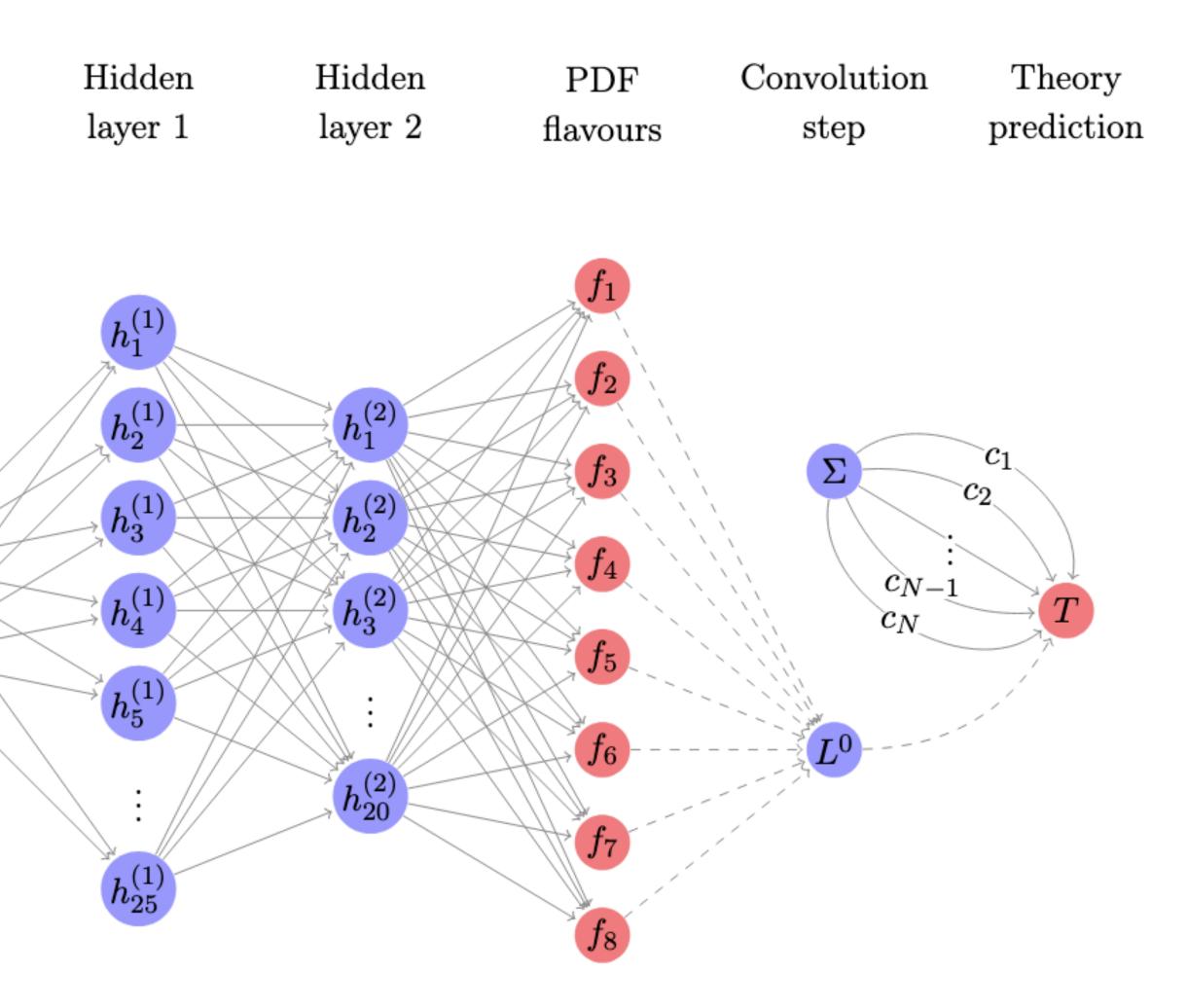


- The SIMUnet methodology extends the existing NNPDF **neural network** with an additional convolution layer.
- The SMEFT couplings are added as weights of neural network edges, and are trained alongside the PDFs.

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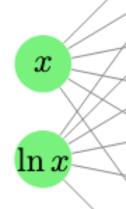
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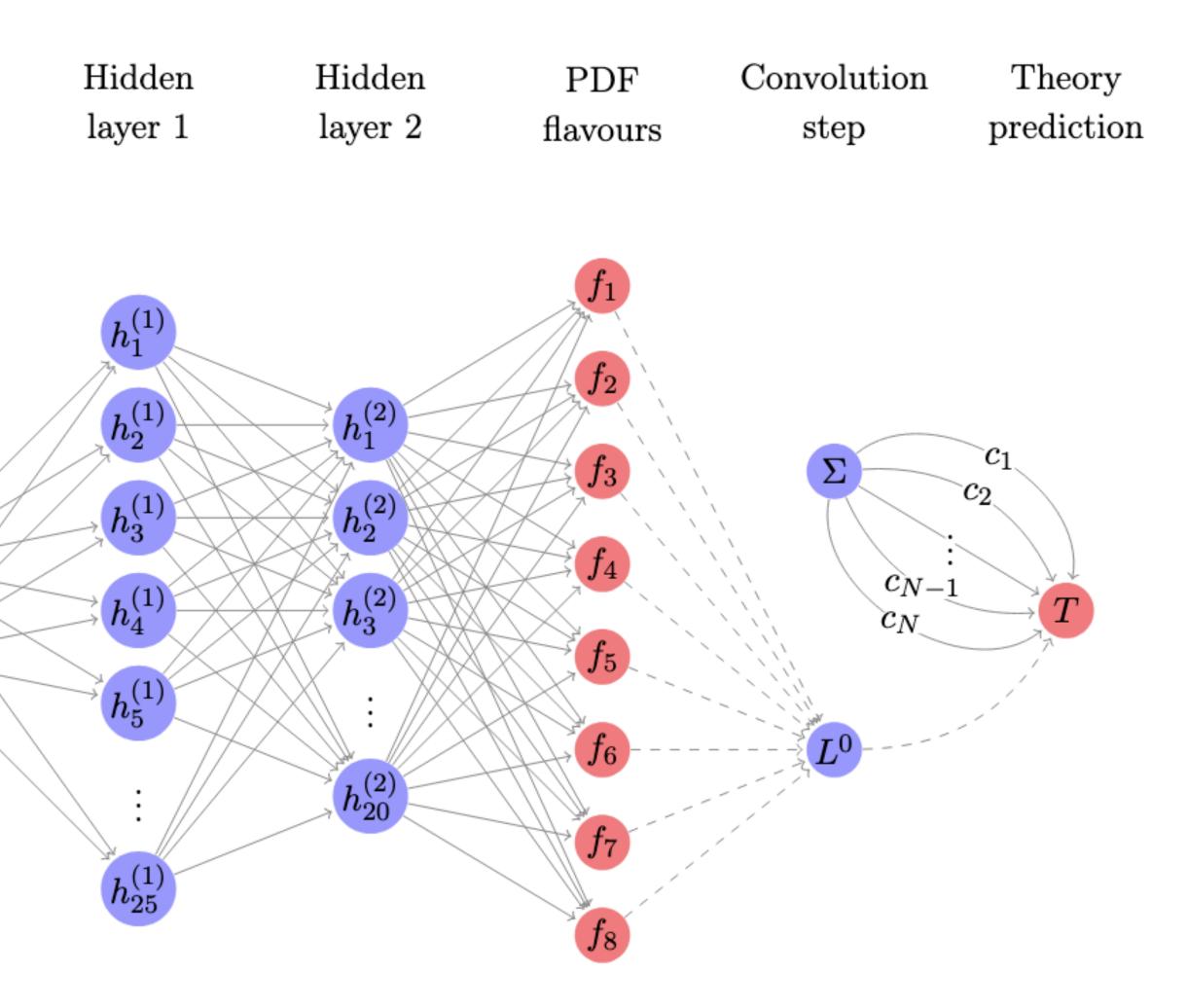


 The SIMUnet methodology allows for **a lot of flexibility**:

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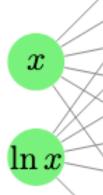




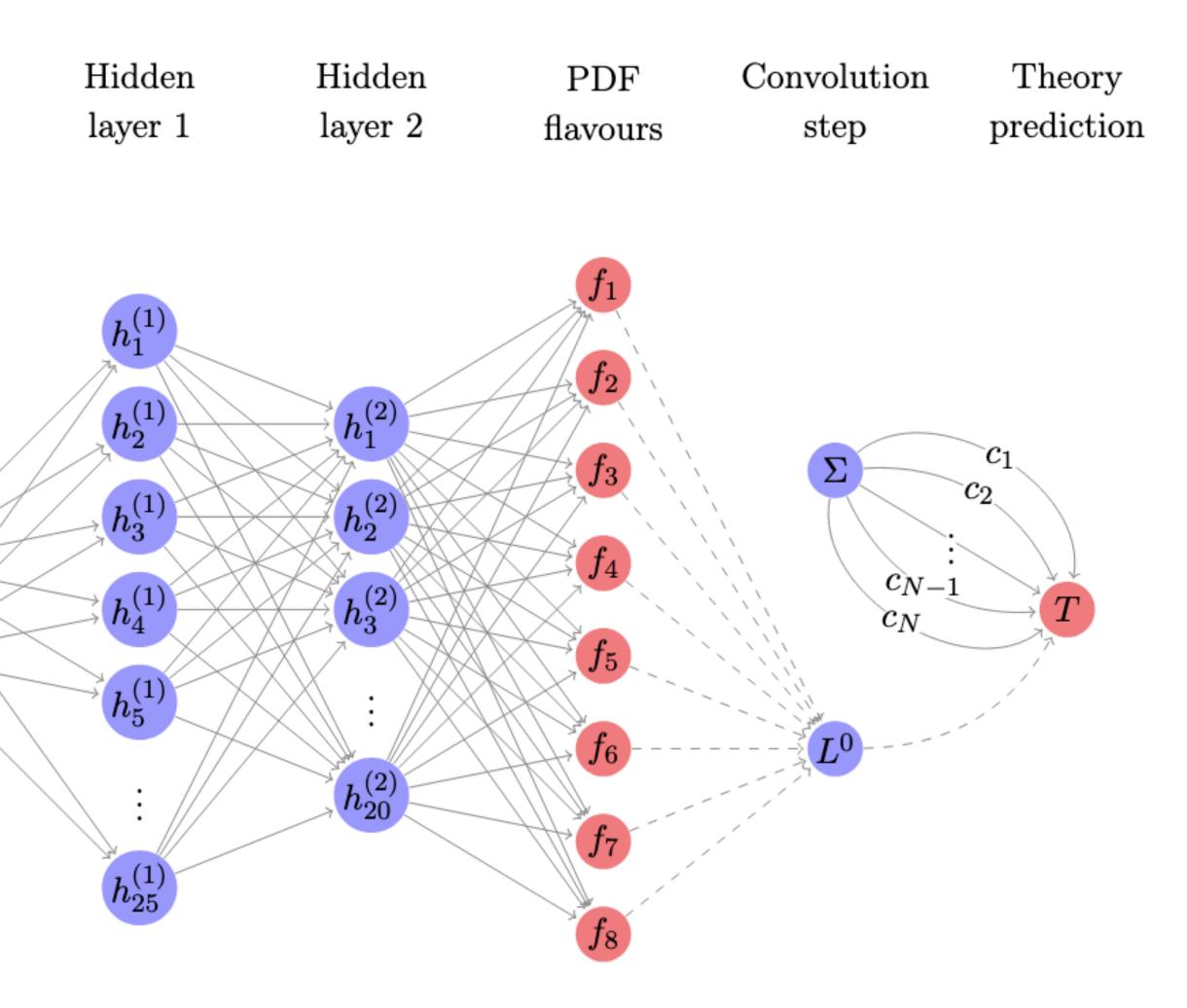
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- Can include quadratic* SMEFT corrections through **non-trainable** edges.







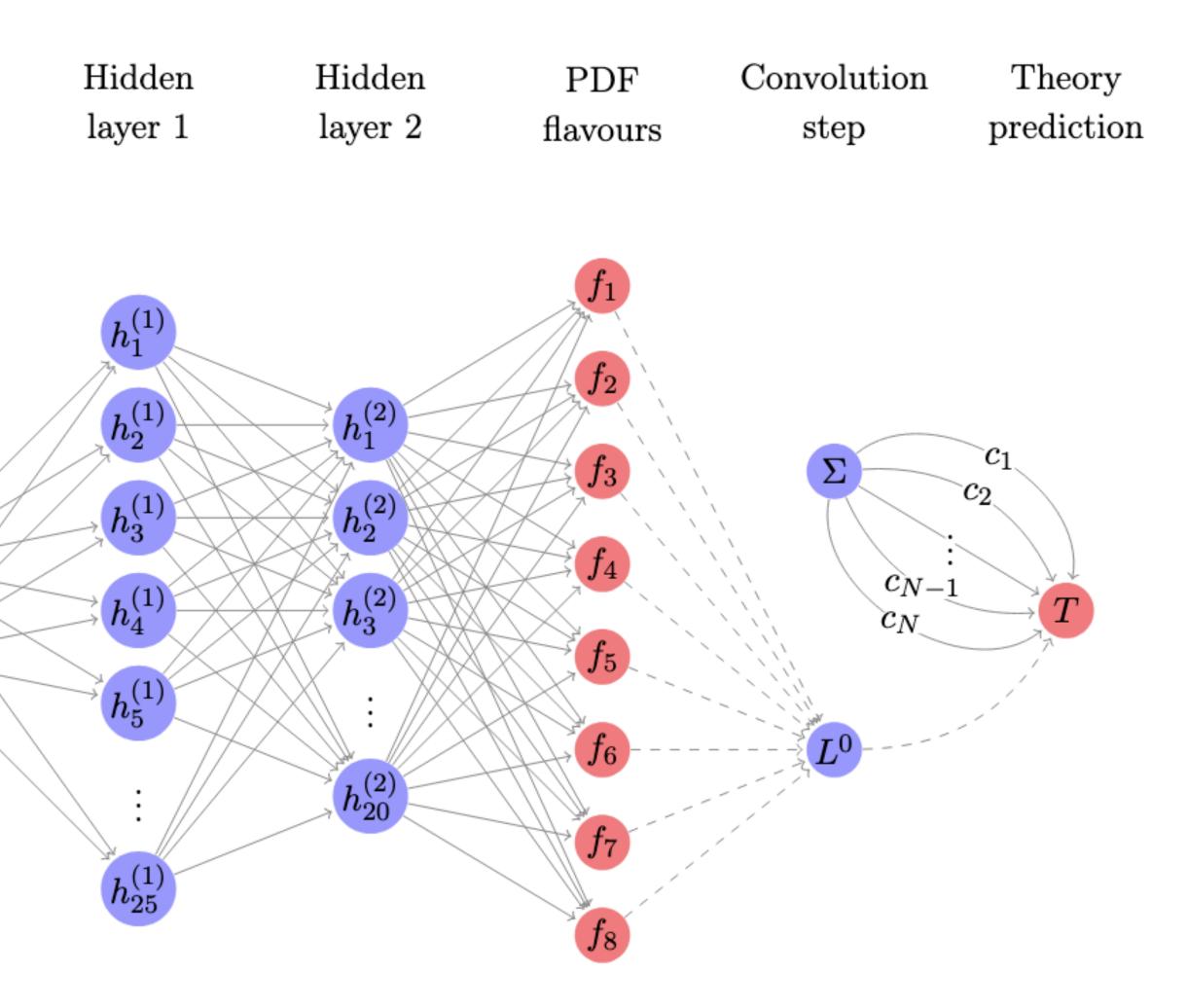
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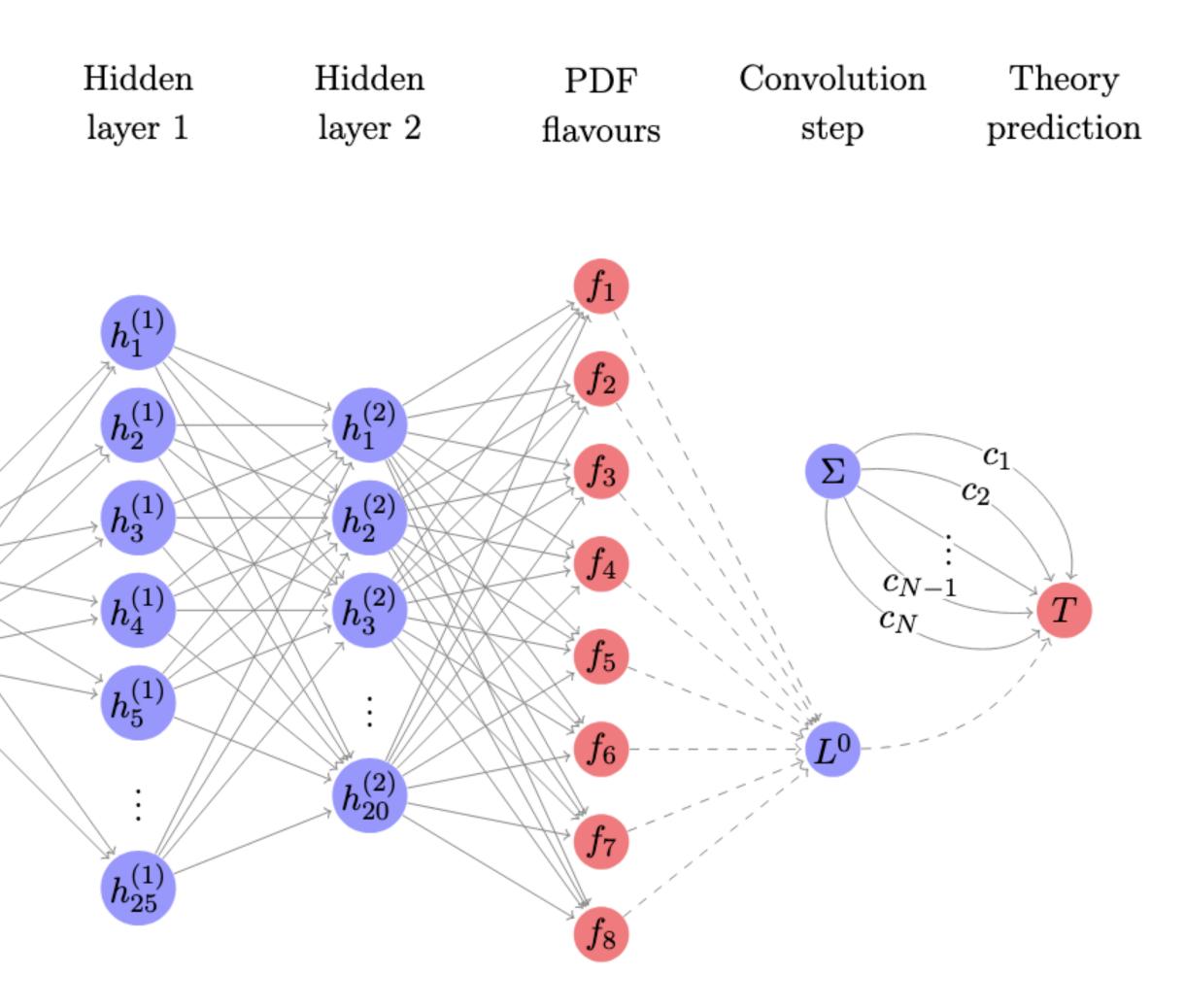
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- Can include quadratic* SMEFT corrections through **non-trainable** edges.
- Can easily include **PDF**independent observables.
- Can perform **fixed PDF** fits by freezing the PDF part of the network.





3. - The top quark legacy of the LHC Run II for PDF and SMEFT analyses

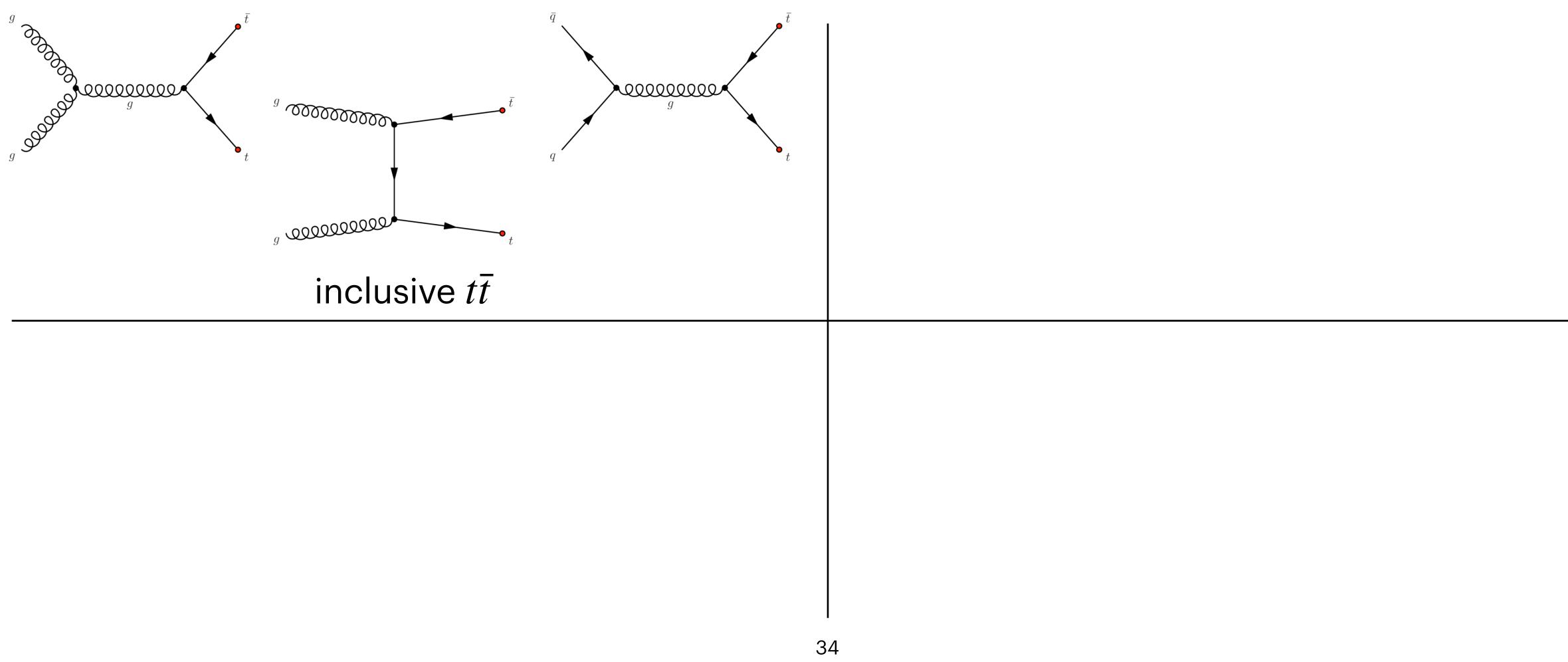
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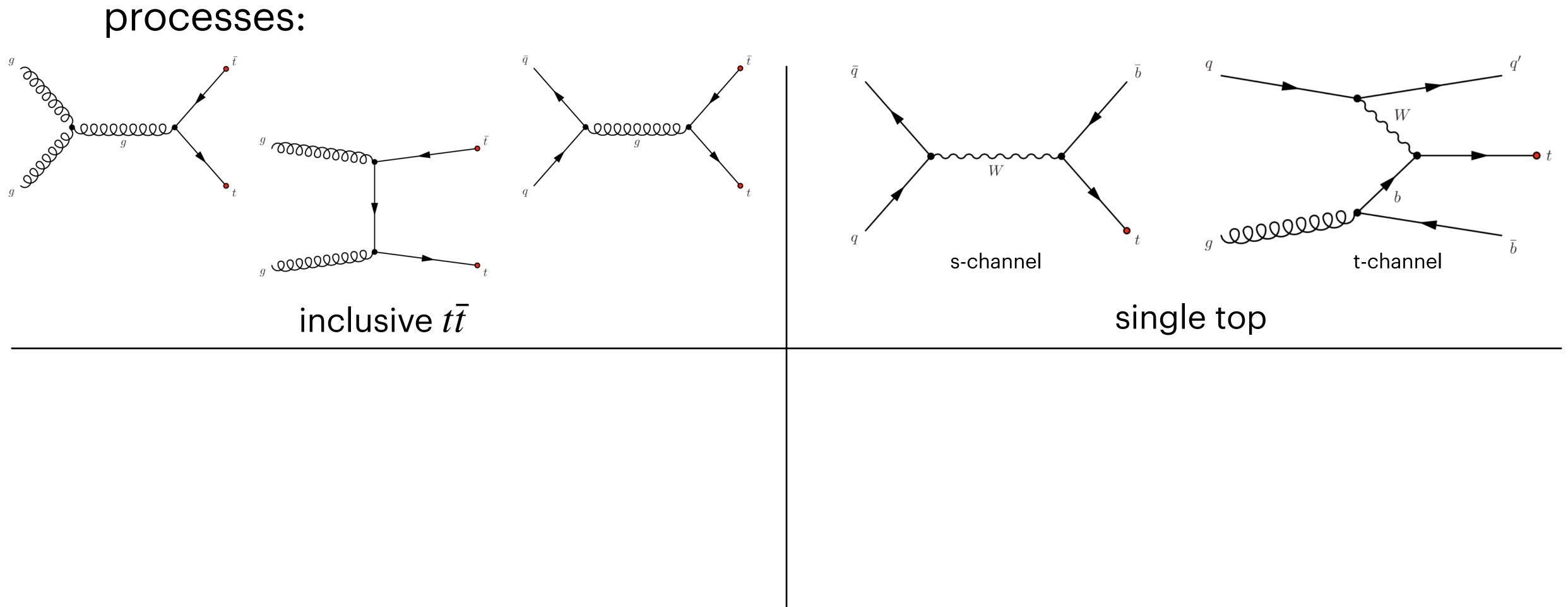
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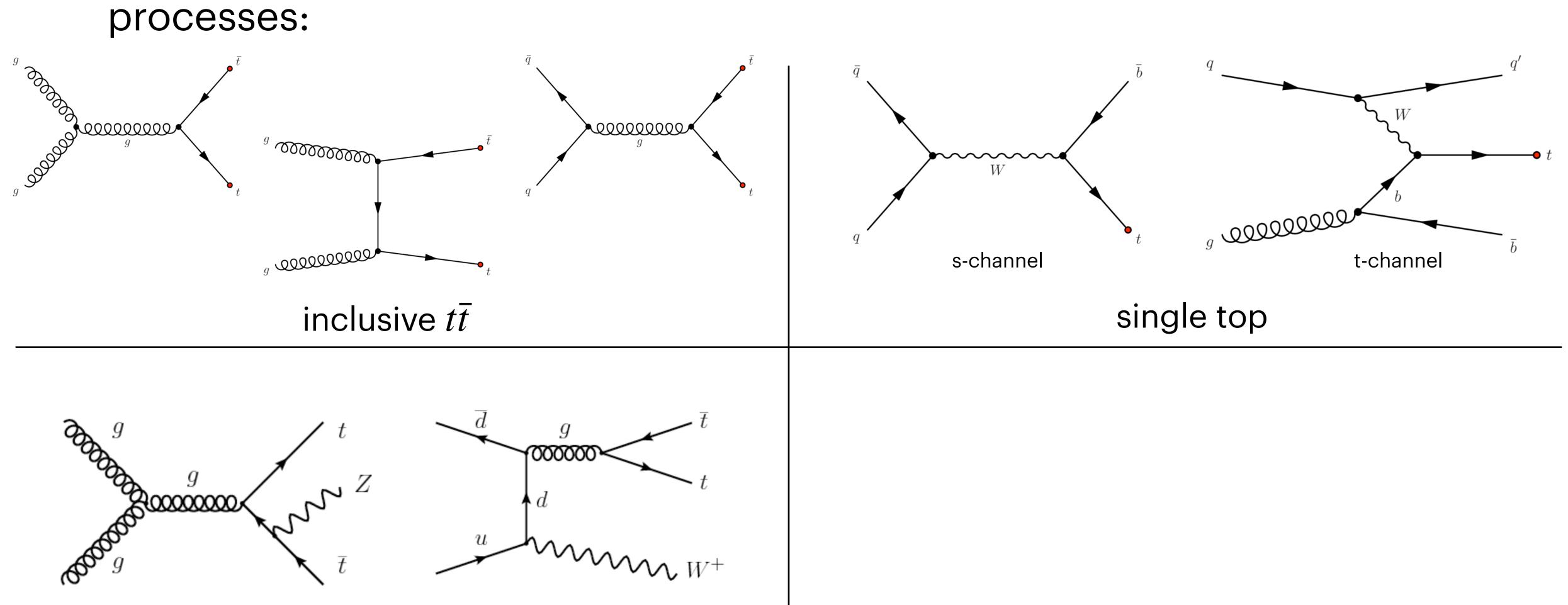
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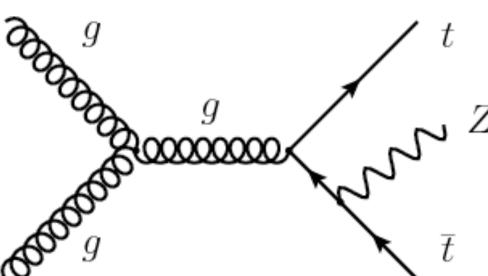


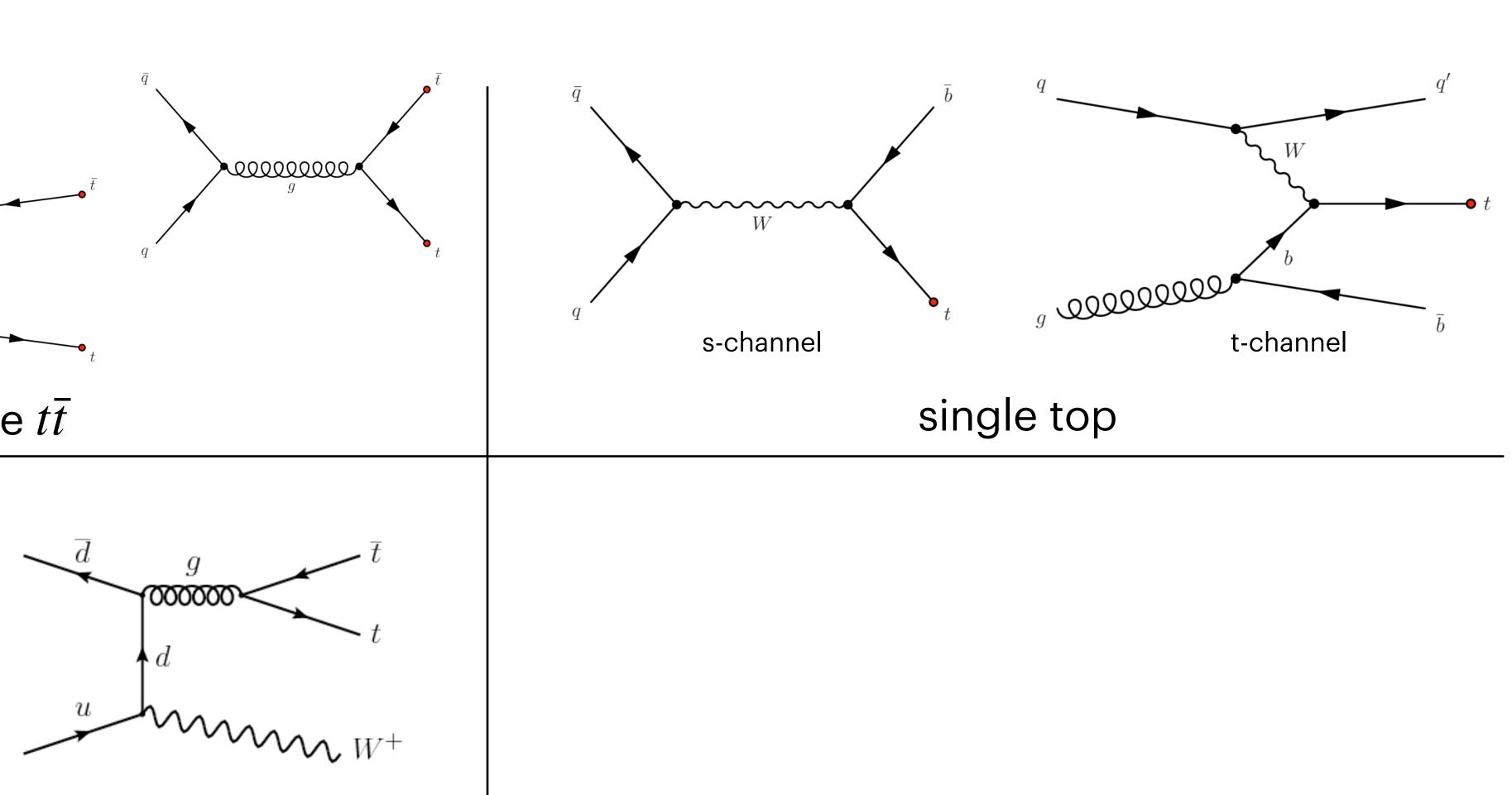
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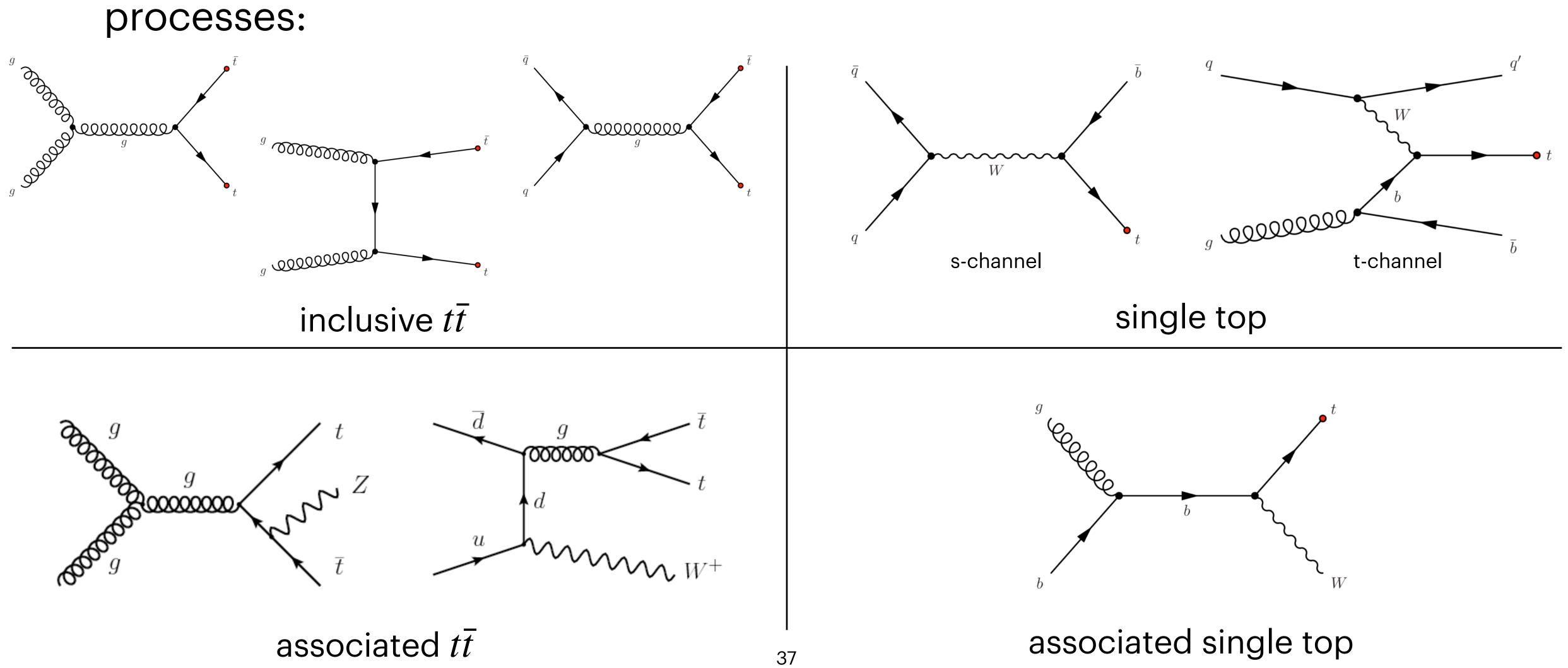


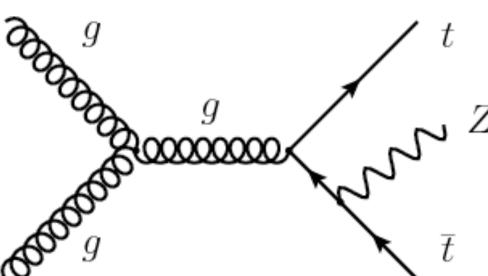


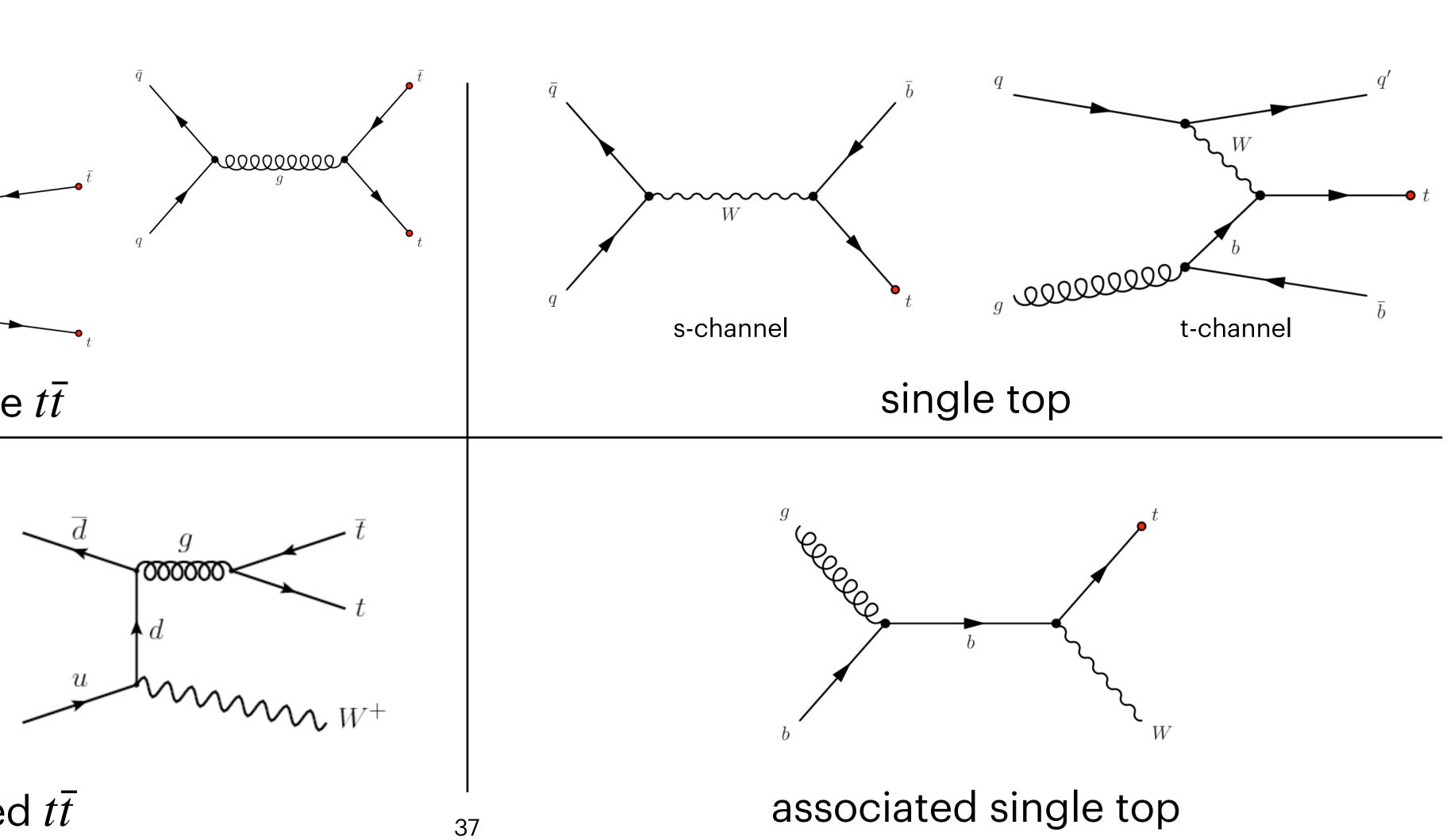


associated $t\bar{t}$

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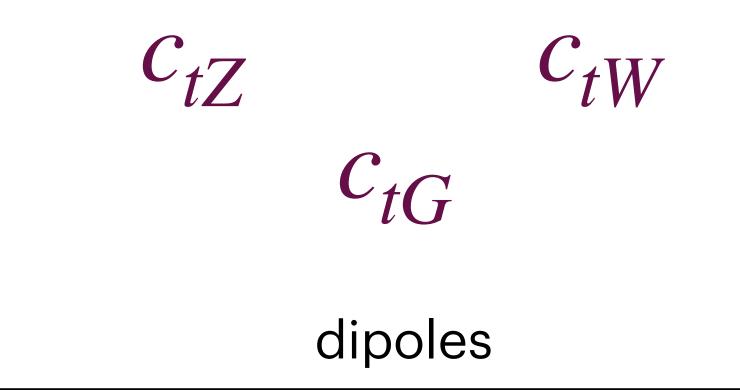




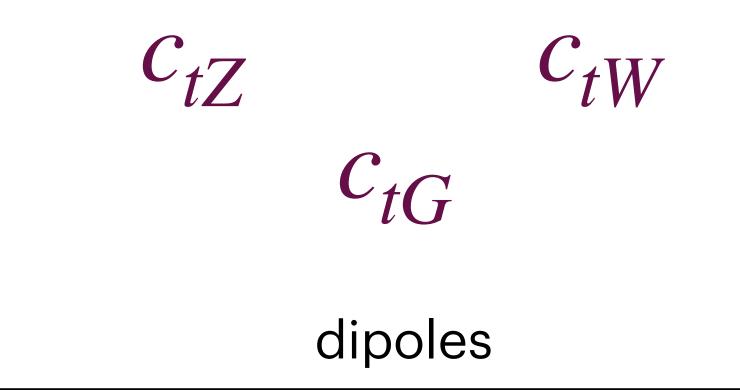


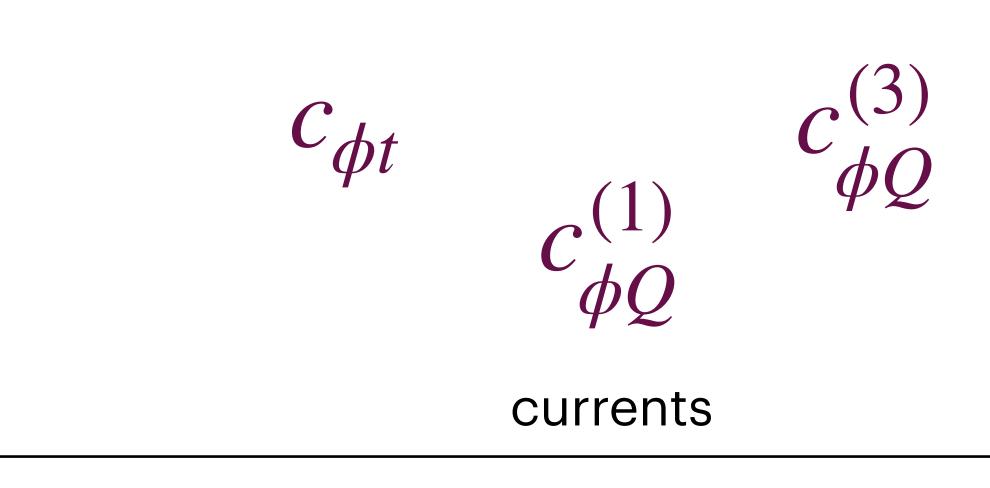
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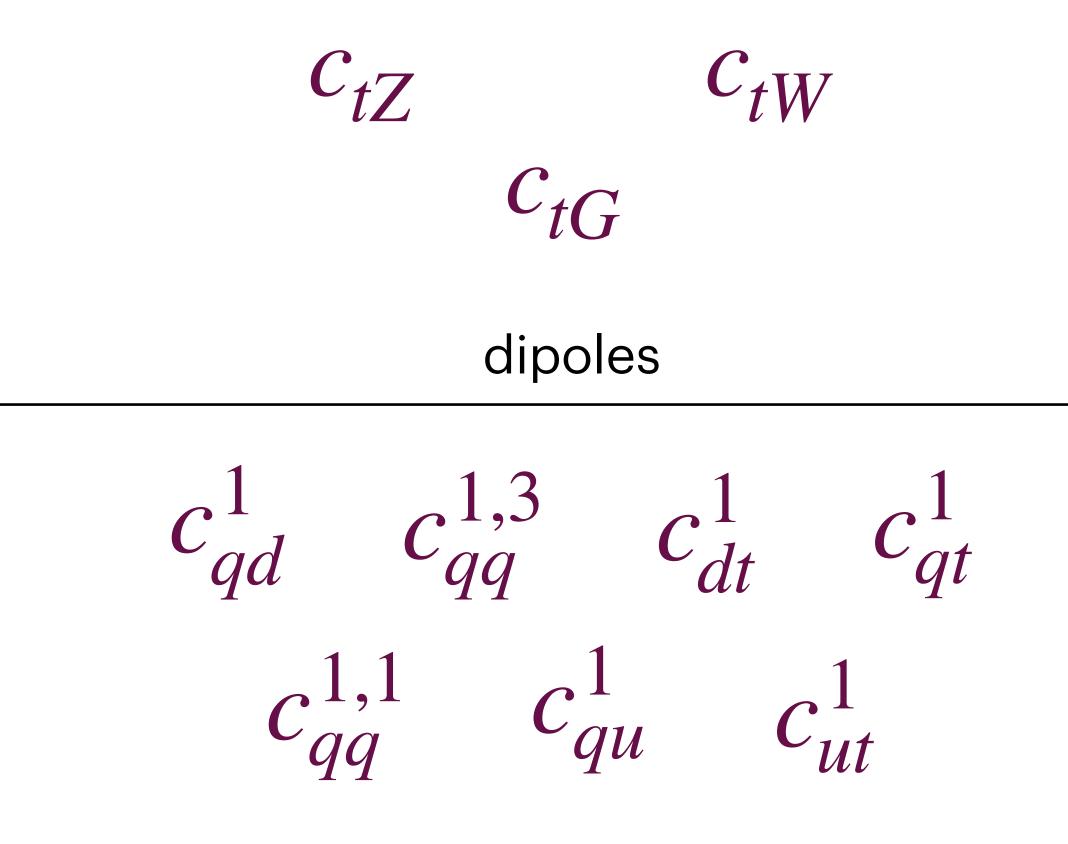


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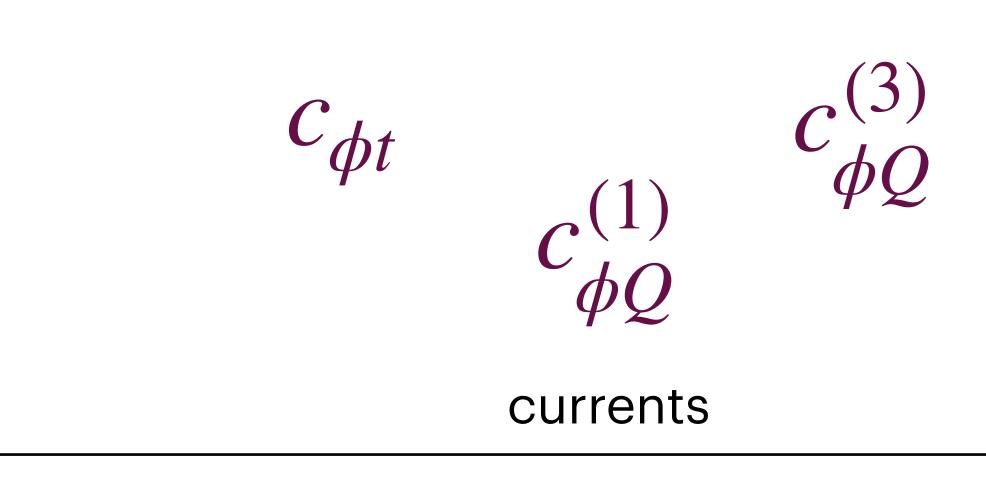




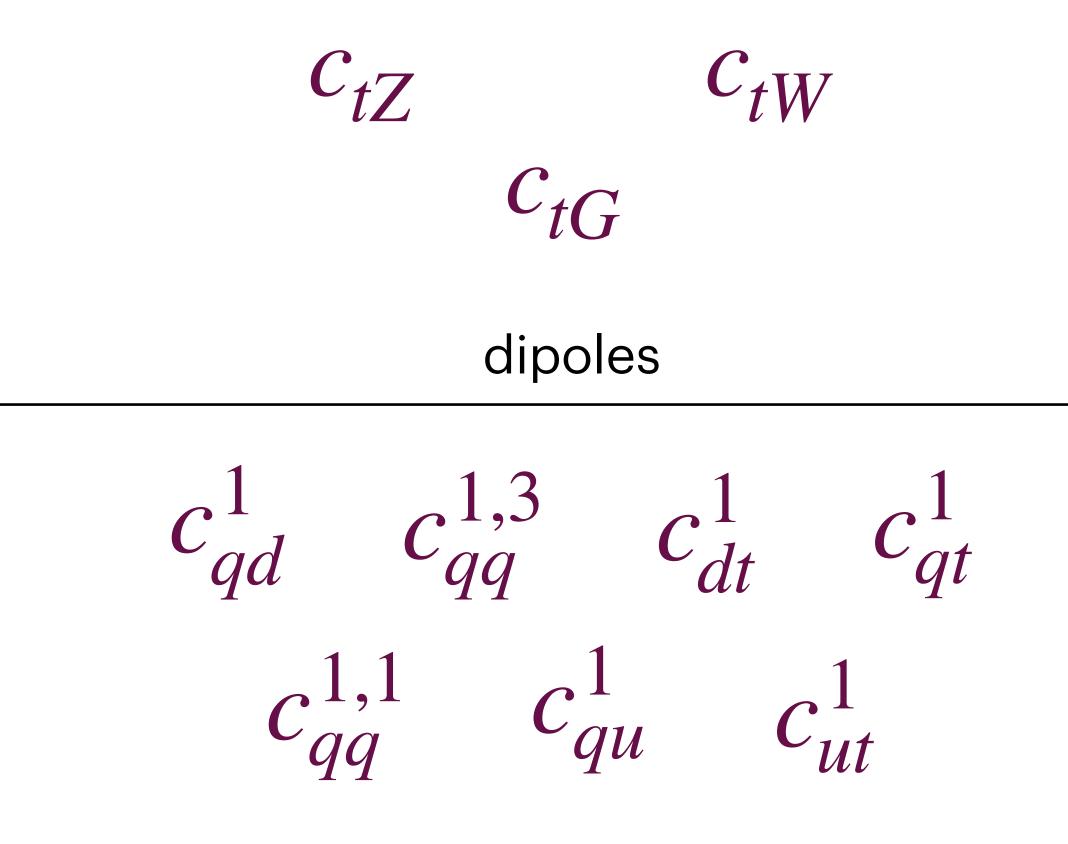
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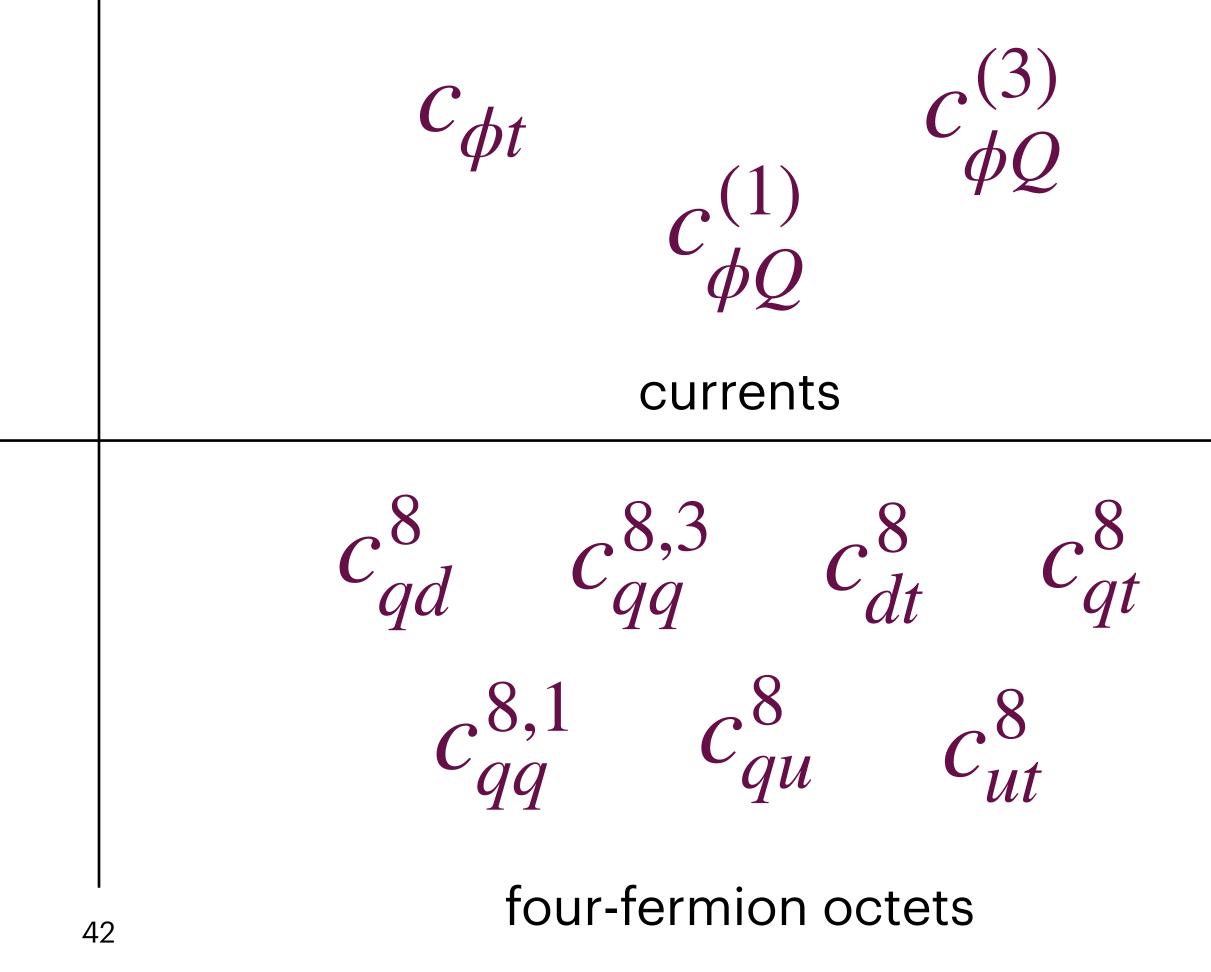
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fits and simultaneous PDF-EFT fits?

2. How do PDFs compare between SM PDF

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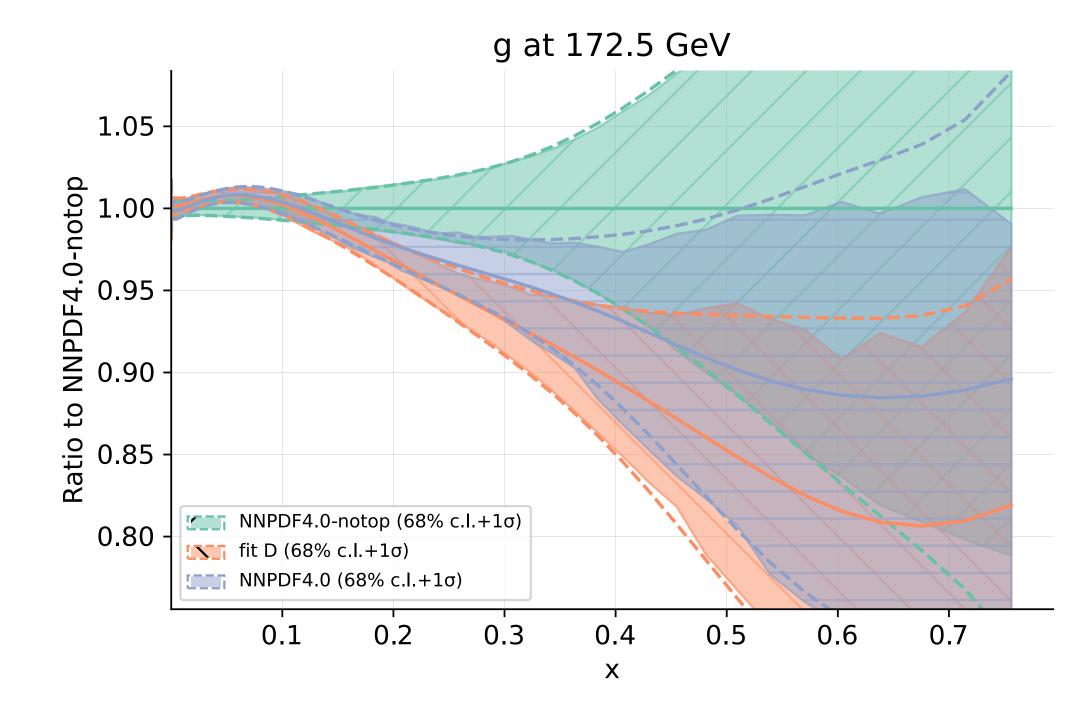
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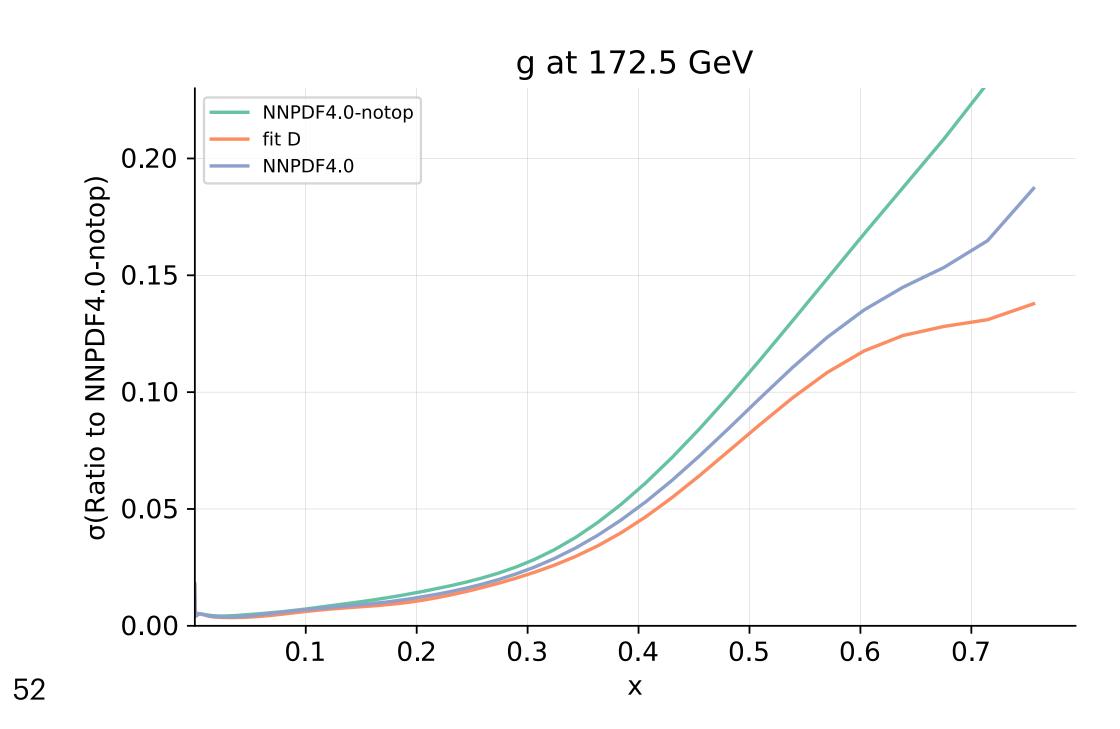
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- We work with theory predictions accurate to NNLO in QCD in the SM, and include NLO QCD in the SMEFT. Some fits are linear in the SMEFT, some are quadratic - a point we will return to.

Let's start the results with the PDF-only fits...

PDFs in the SM - impact of inclusive $t\bar{t}$ and single-top

- First, we consider the impact of our dataset on PDFs in the SM.
- Begin by considering the updates to the **inclusive** $t\bar{t}$ and **single-top** dataset relative to NNPDF4.0. If we perform a SM PDF fit using only our new inclusive $t\bar{t}$ and single-top data, we see a more pronounced effect on the large-x gluon relative to NNPDF4.0. The uncertainty is also further reduced.

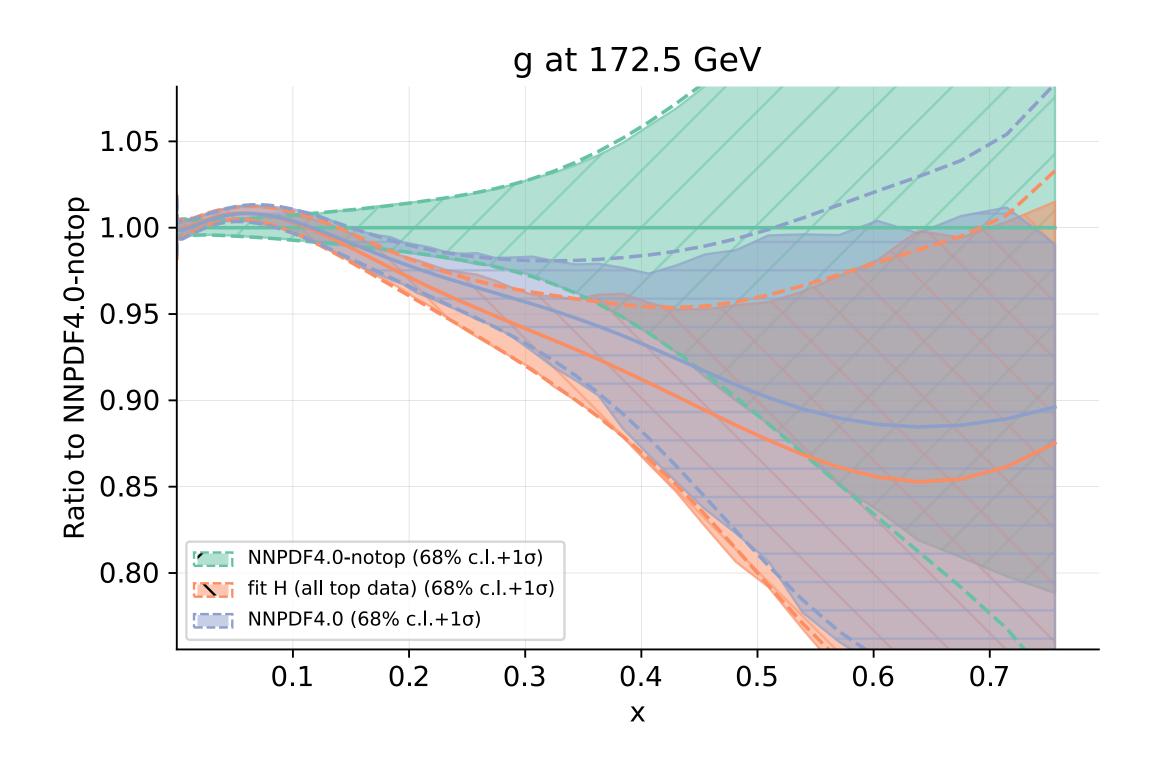






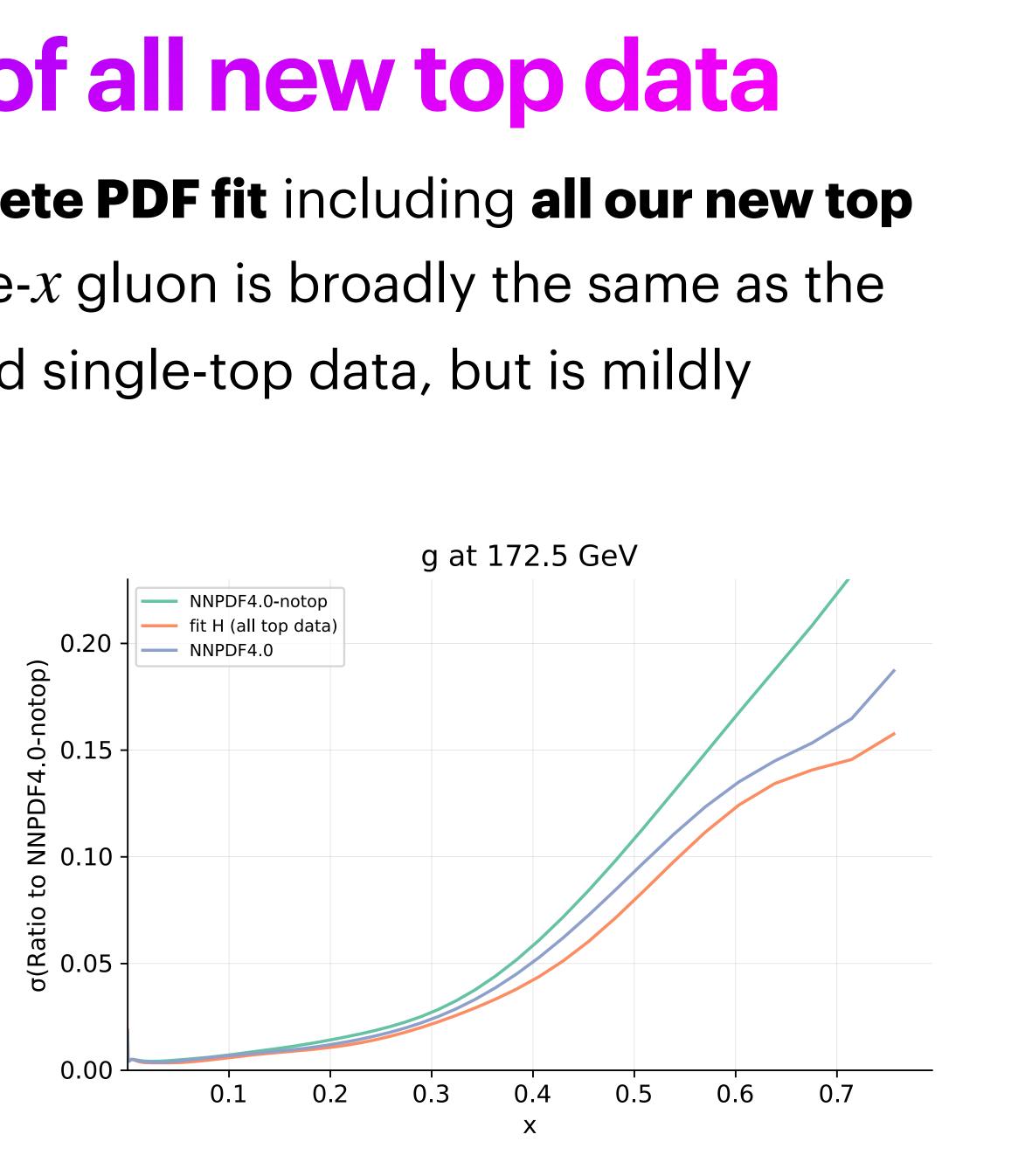
PDFs in the SM - impact of all new top data

tempered by the associated top data.



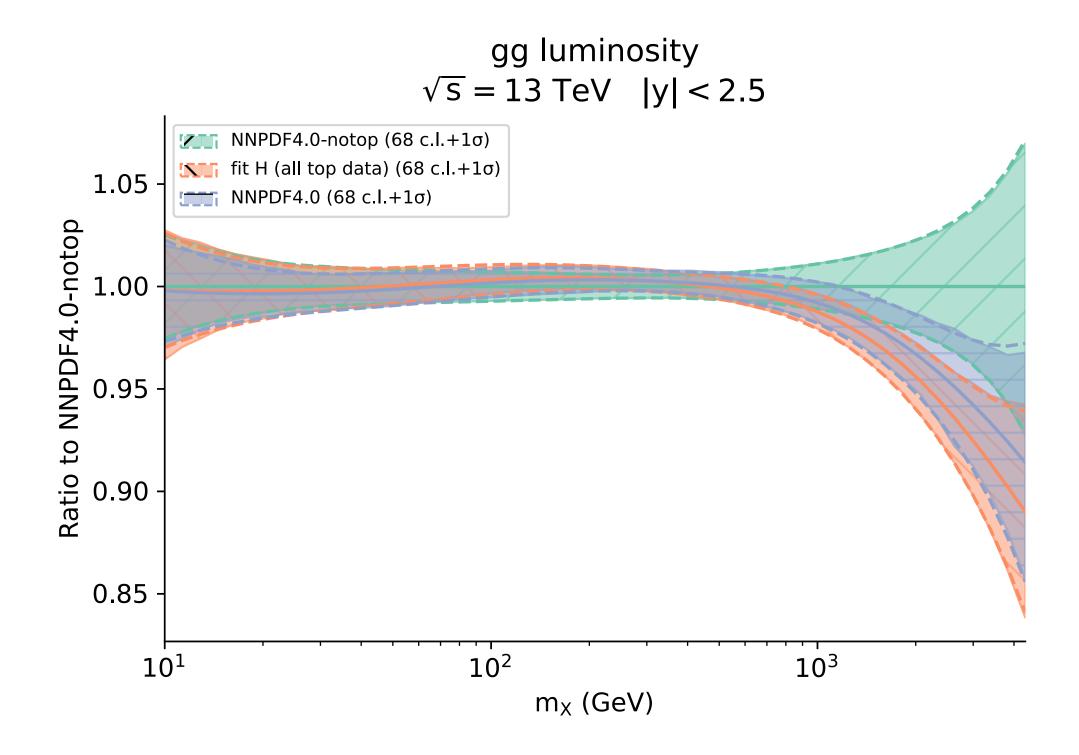
• Finally, we present the results of a complete PDF fit including all our new top

data. As expected, the effect on the large-*x* gluon is broadly the same as the effect of just including the inclusive $t\bar{t}$ and single-top data, but is mildly

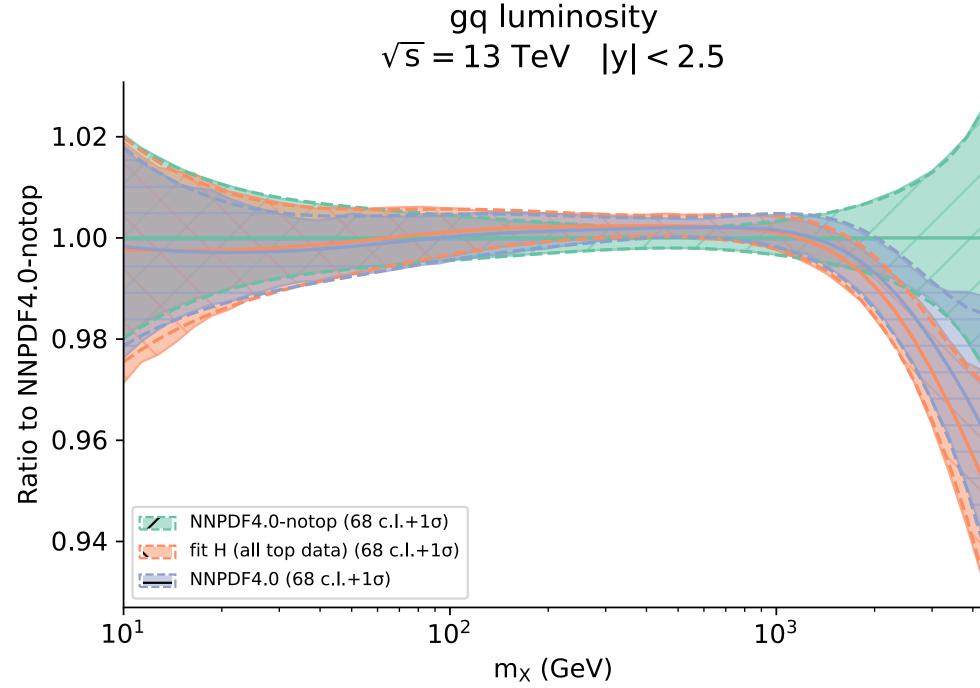


PDFs in the SM - impact of all new top data

• A similar trend holds for the **PDF luminosities**, with our new updated fit to NNPDF4.0 at very large invariant mass.



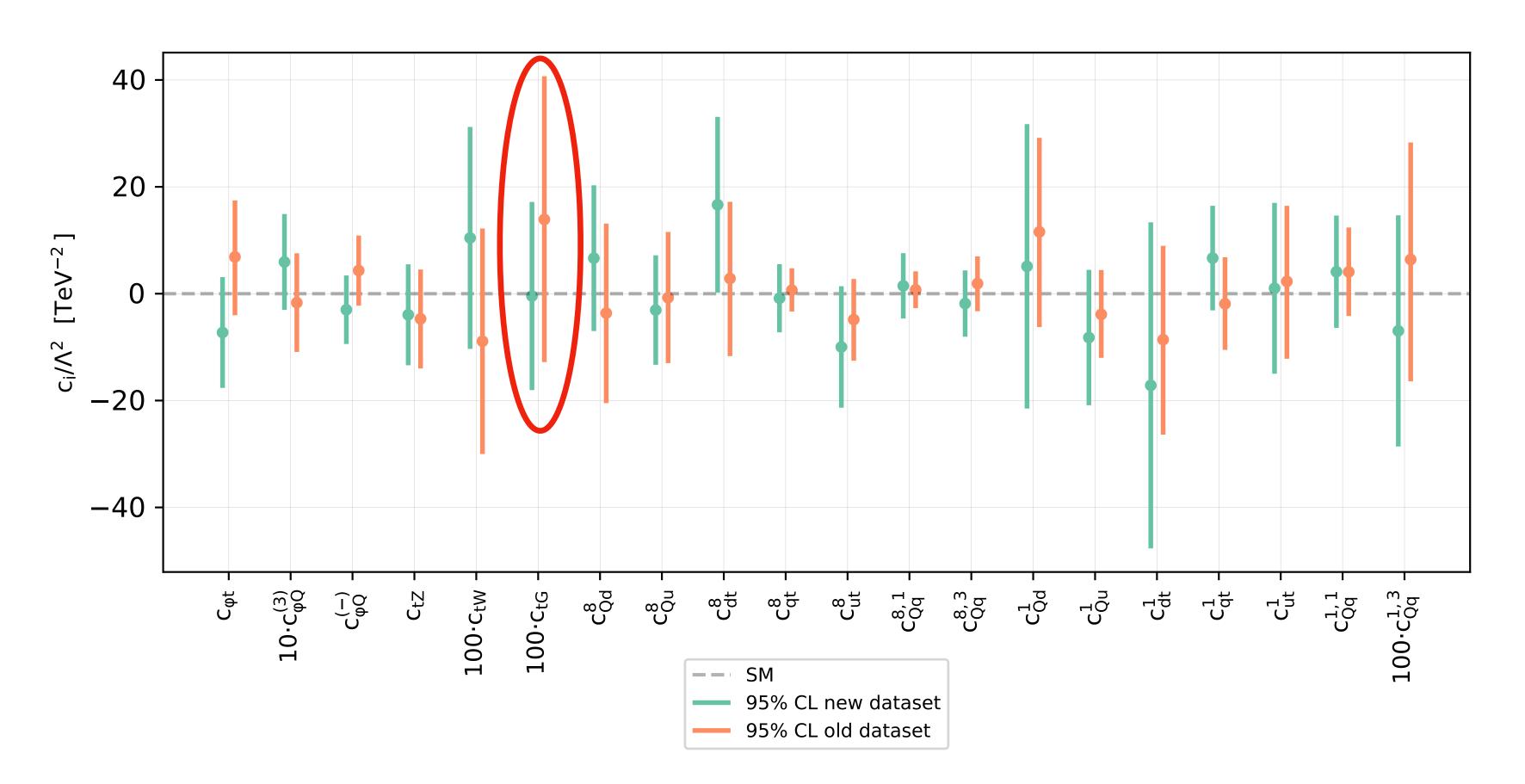
compatible with NNPDF4.0, but with the central luminosity reduced relative



Now let's see the SMEFT-only fits...

SMEFT-only fits: linear SMEFT

- relative to previous SMEFT-fits, namely **SMEFiT**.
- At the **linear level** in the SMEFT, best improvement is seen in C_{tG} , whose bound undergoes a 35% tightening this is traced to more precise total tt measurements.

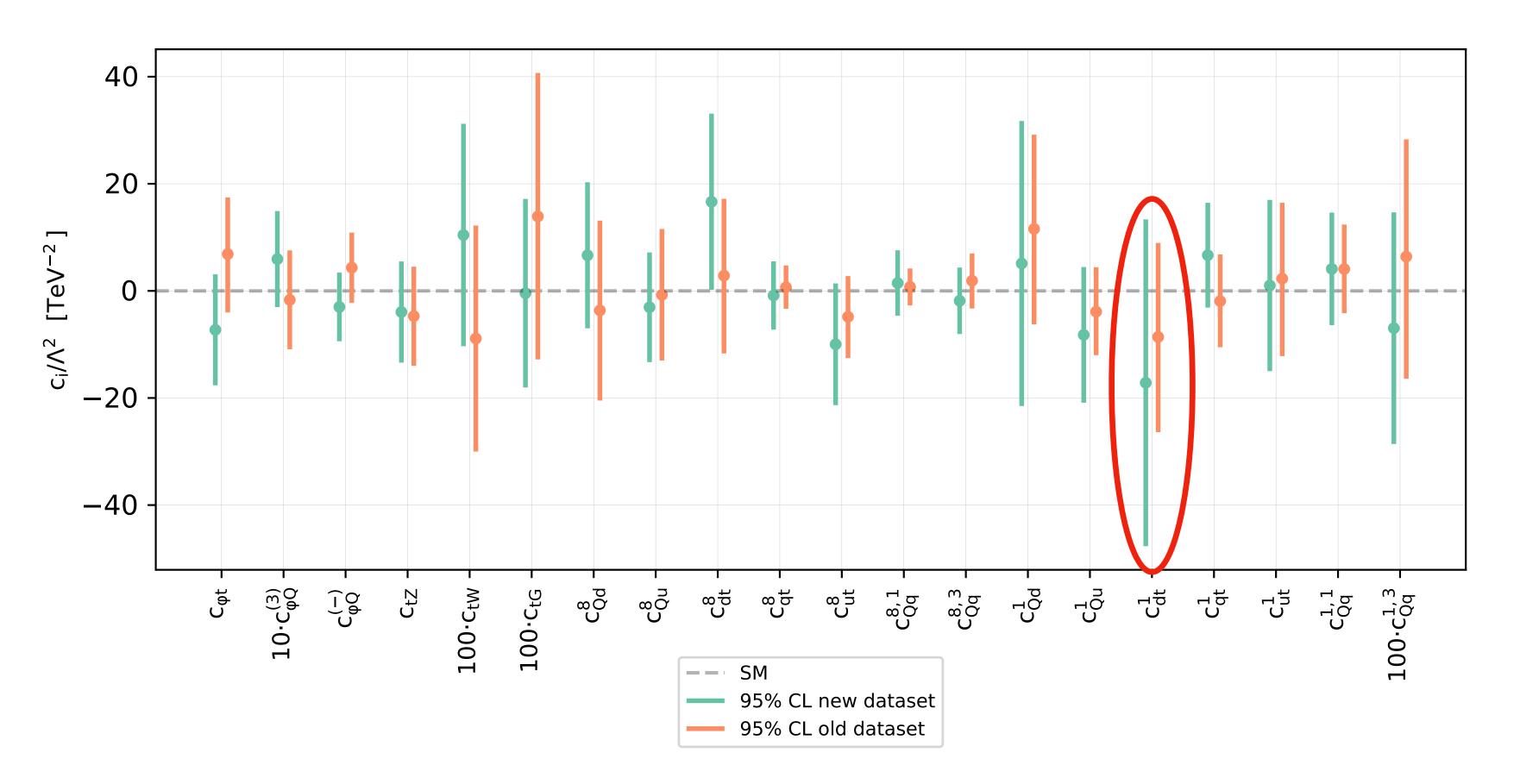




• We have also performed SMEFT-only fits to see the impact of our new dataset

SMEFT-only fits: linear SMEFT

- broadening of the constraint.
- Some coefficients have **broader bounds** than previously obtained, in particular some of the four-fermion operators.
- However, bounds are very weak here anyway, and likely challenge EFT validity.

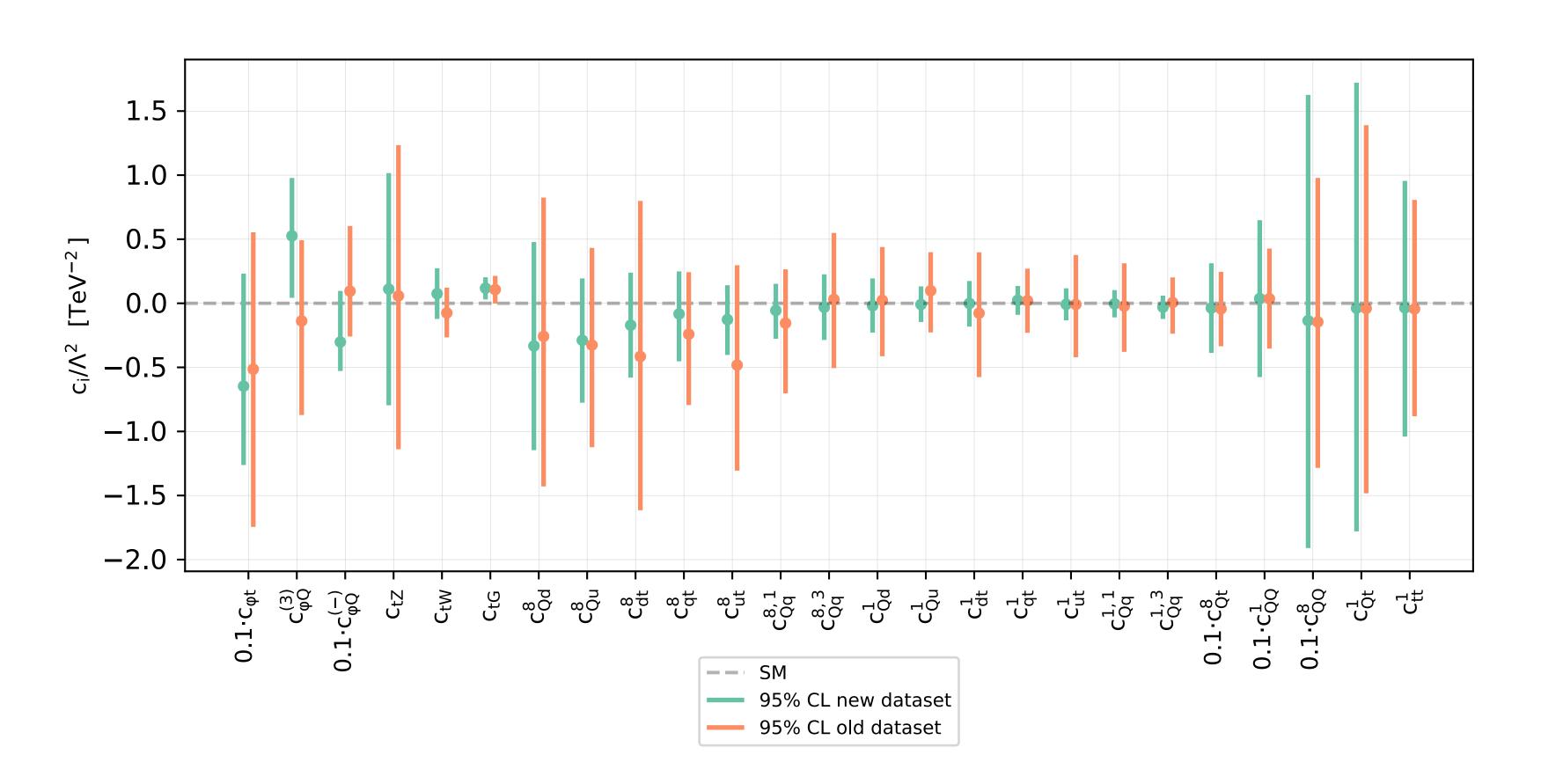




• Some other coefficients undergo a **shift in the central value**, but no tightening or

SMEFT-only fits: quadratic SMEFT

- Results are much more promising when quadratic SMEFT effects are
- Only the five **four**heavy operators experience broadening relative to the old dataset. This could point to some inconsistency in the *ttttt* and *ttbb* data, but with such large uncertainties, it is difficult to be precise.



included. A **significant tightening** of bounds is seen for most operators.

PDF-SMEFT correlation

• We can try to get intuition for the result of the joint PDF-SMEFT fit by considering the **PDF-SMEFT correlation** in the SMEFT-only fits.

PDF-SMEFT correlation

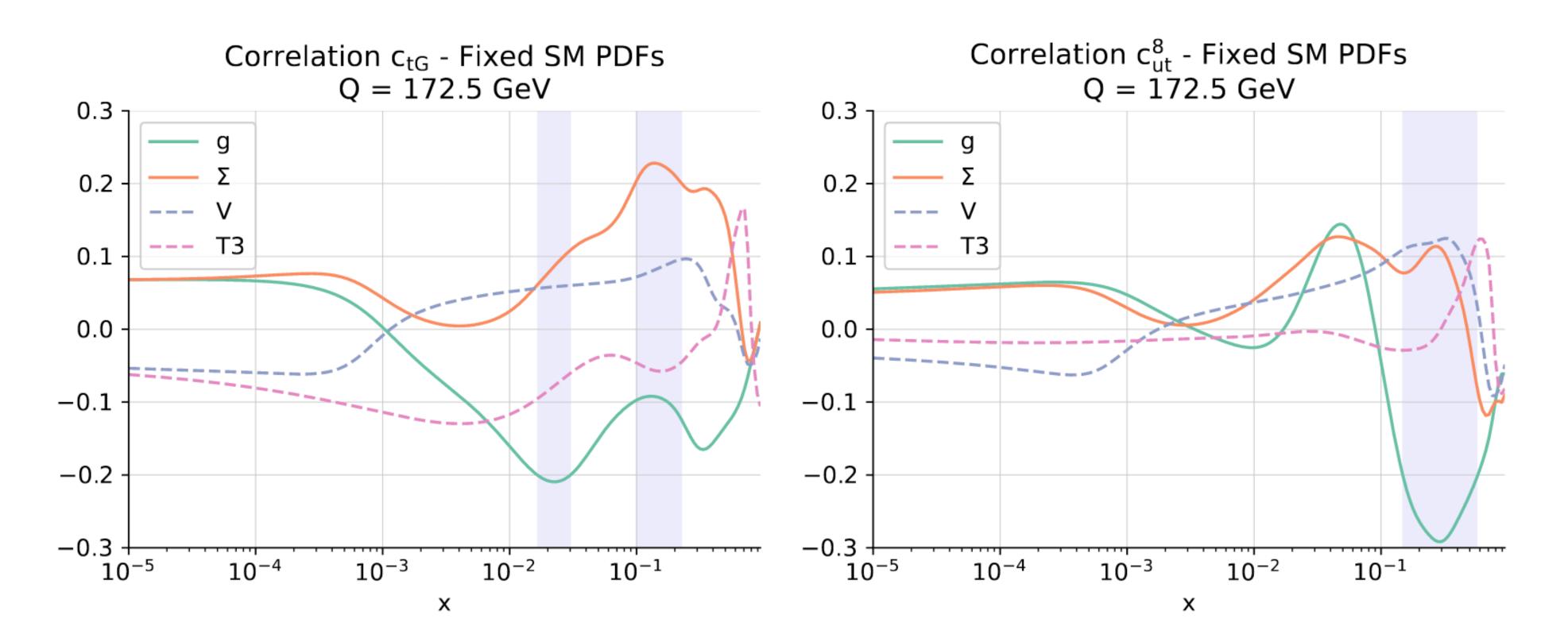
• We can try to get intuition for the result of the **joint PDF-SMEFT** fit by considering the **PDF-SMEFT correlation** in the SMEFT-only fits.

• This is defined for each Wilson coefficient and each PDF flavour by:

$$\rho\left(c, f(x, Q^2)\right) = \frac{\left\langle c^{(k)} f^{(k)}(x, Q^2) \right\rangle_k - \left\langle c^{(k)} \right\rangle_k \left\langle f^{(k)}(x, Q^2) \right\rangle_k}{\sqrt{\left\langle \left(c^{(k)}\right)^2 \right\rangle_k - \left\langle c^{(k)} \right\rangle_k^2} \sqrt{\left\langle \left(f^{(k)}(x, Q^2)\right)^2 \right\rangle_k - \left\langle f^{(k)}(x, Q^2) \right\rangle_k^2}}$$

PDF-SMEFT correlation

suggesting that the interplay will also be **relatively mild**.

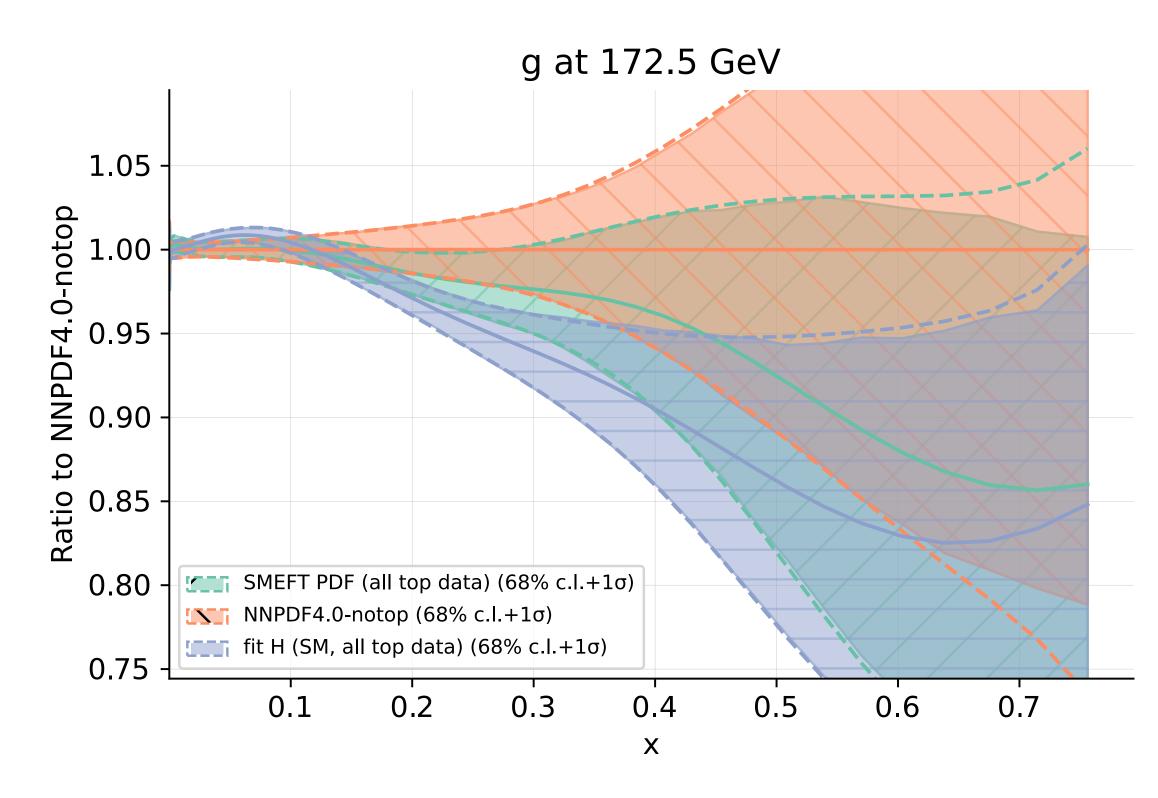


• We see the **strongest correlation** between the Wilson coefficients and the gluon PDF at high-x, as to be expected. The correlation is still **mild** though,

Now, let's do the joint fit...



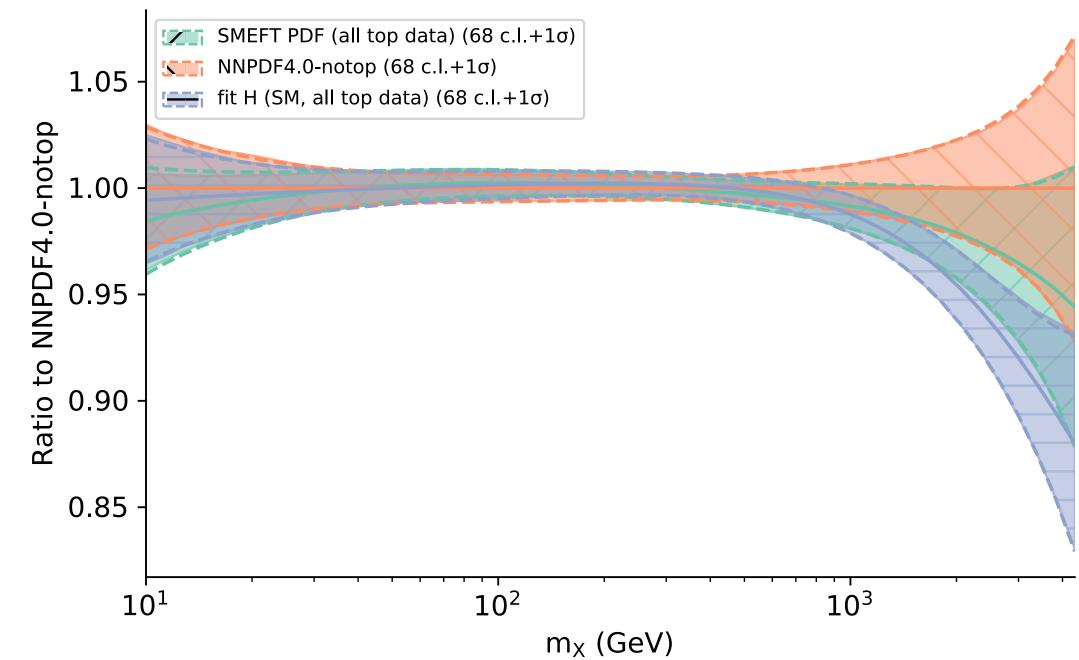
Joint PDF-SMEFT fits: linear SMEFT



• Finally, we present the key result of the work: a simultaneous determination of PDFs and SMEFT Wilson coefficients. We start assuming linear SMEFT.

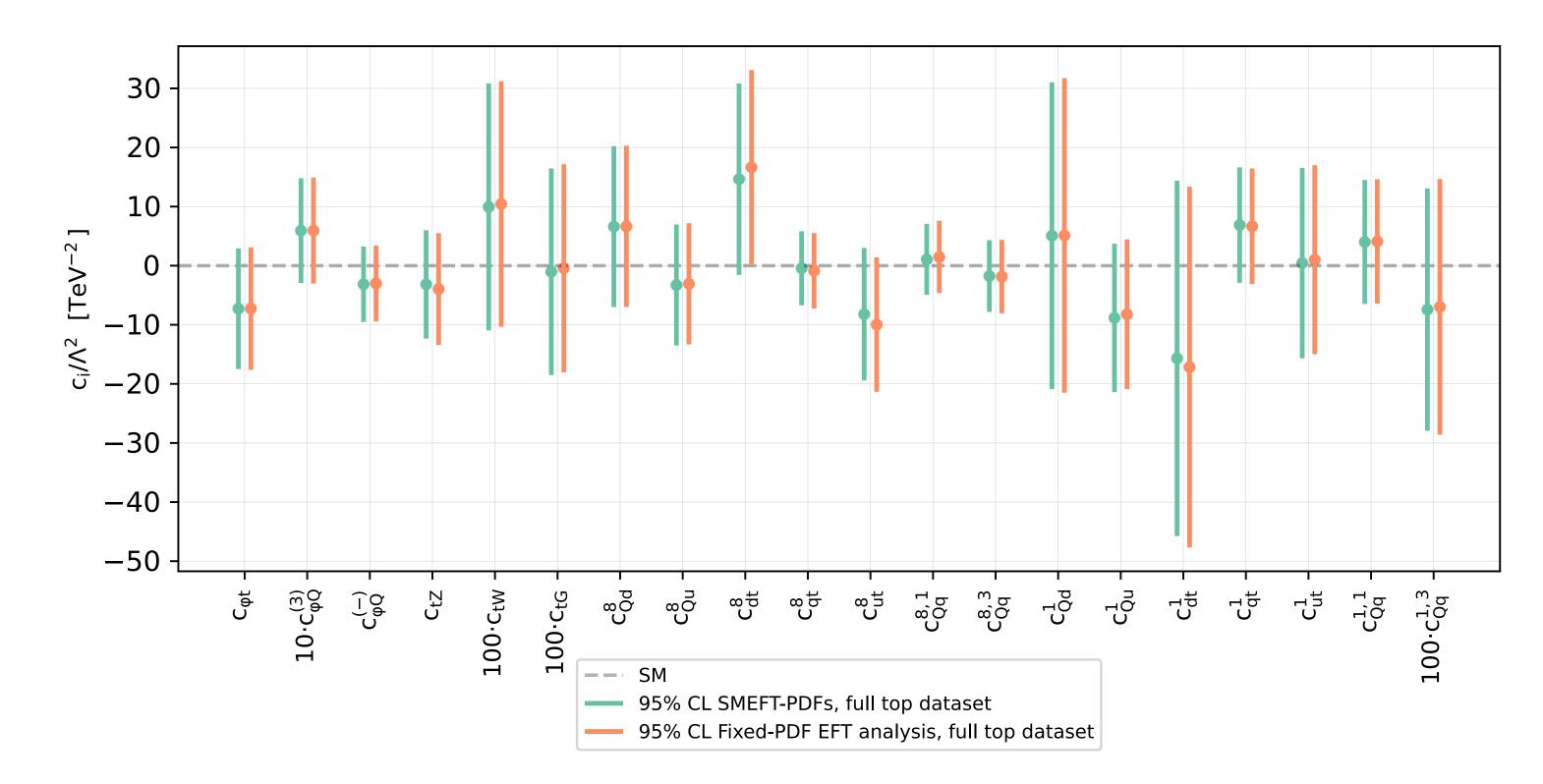
• In terms of the gluon PDFs and luminosities, we find that a simultaneous determination reduces the pull of the top data from the non-top baseline.

> gg luminosity $\sqrt{s} = 13 \text{ TeV}$



Joint PDF-SMEFT fits: linear SMEFT

• On the other hand, we find that the bounds on the Wilson coefficients are **very stable** between a simultaneous PDF-SMEFT fit and a SMEFT-only fit.



effects are currently subdominant.

This indicates that within a linear EFT interpretation of the top data, the PDF

ulletinterplay be more pronounced there ... ?

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• An upcoming publication will describe the issue in more detail; for now, here's the

$$t(c) = t^{SM} + t^{lin}c + t^{quad}c^2$$

ullet

$$c_p(d_p) = \operatorname{arg\,min}_c \left(\frac{(t(c) - d_p)^2}{\sigma^2} \right)$$

For simplicity, consider a single data point d with experimental variance σ^2 , which we attempt to describe using the **quadratic** theory, involving a single theory parameter c:

The Monte-Carlo replica method propagates the uncertainty from the data to the theory parameter by fitting to **pseudodata**. We sample lots of pseudodata replicas from a normal distribution based on the data, $d_p \sim N(d, \sigma^2)$, and define the corresponding **parameter**

replicas to be a random function of the pseudodata given by minimising the χ^2 -statistic:

parameter replicas analytically; it is given by:

$$P_{c^{(i)}}(c) \propto \delta\left(c + \frac{t^{\text{lin}}}{2t^{\text{quad}}}\right) \int_{-\infty}^{t_{\text{min}}} dx \ \exp\left(-\frac{1}{2\sigma^2}(x-d)^2\right) + \frac{2}{|2ct^{\text{quad}} + t^{\text{lin}}|} \exp\left(-\frac{1}{2\sigma^2}(d-t(c))^2\right)$$

Here, t_{min} is the minimum value of the theory (which is a parabola).

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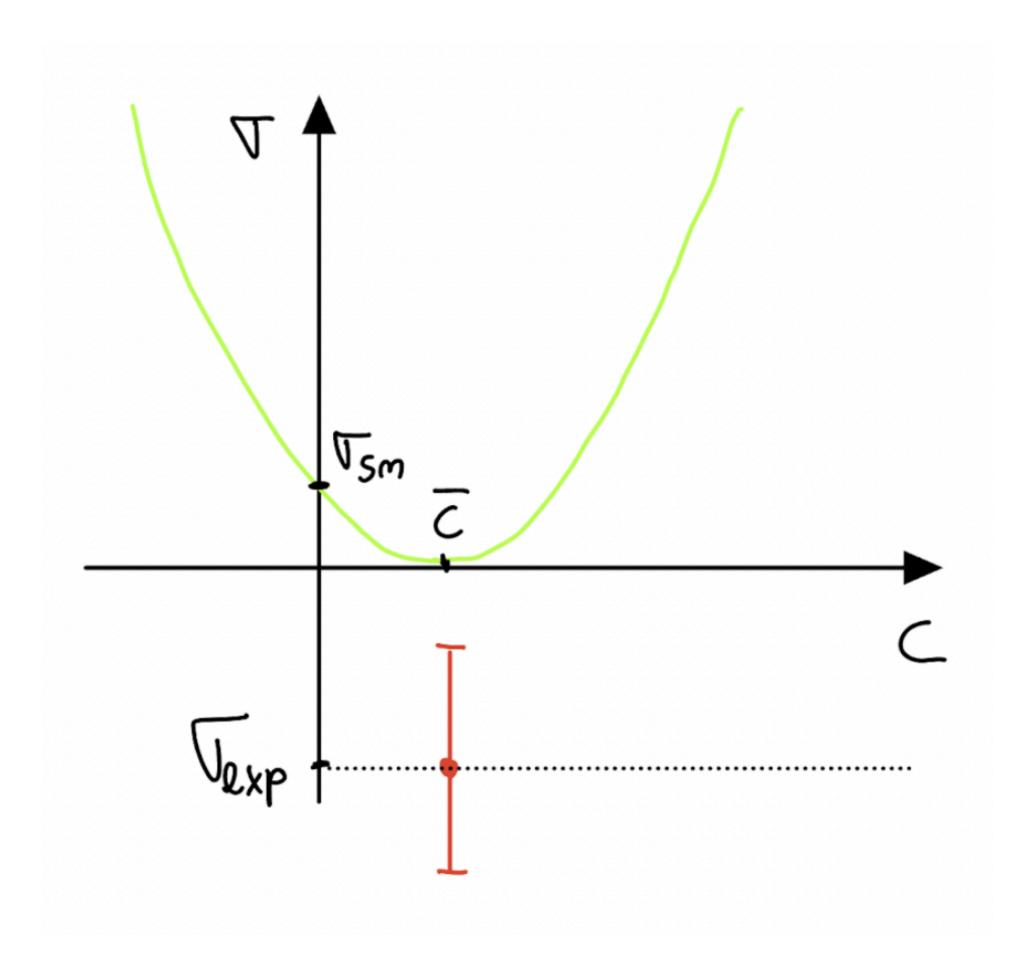
- Part of the distribution looks like a **scaled version** of what we would expect

- There is also a **delta function spike** in the distribution - interesting to ask:

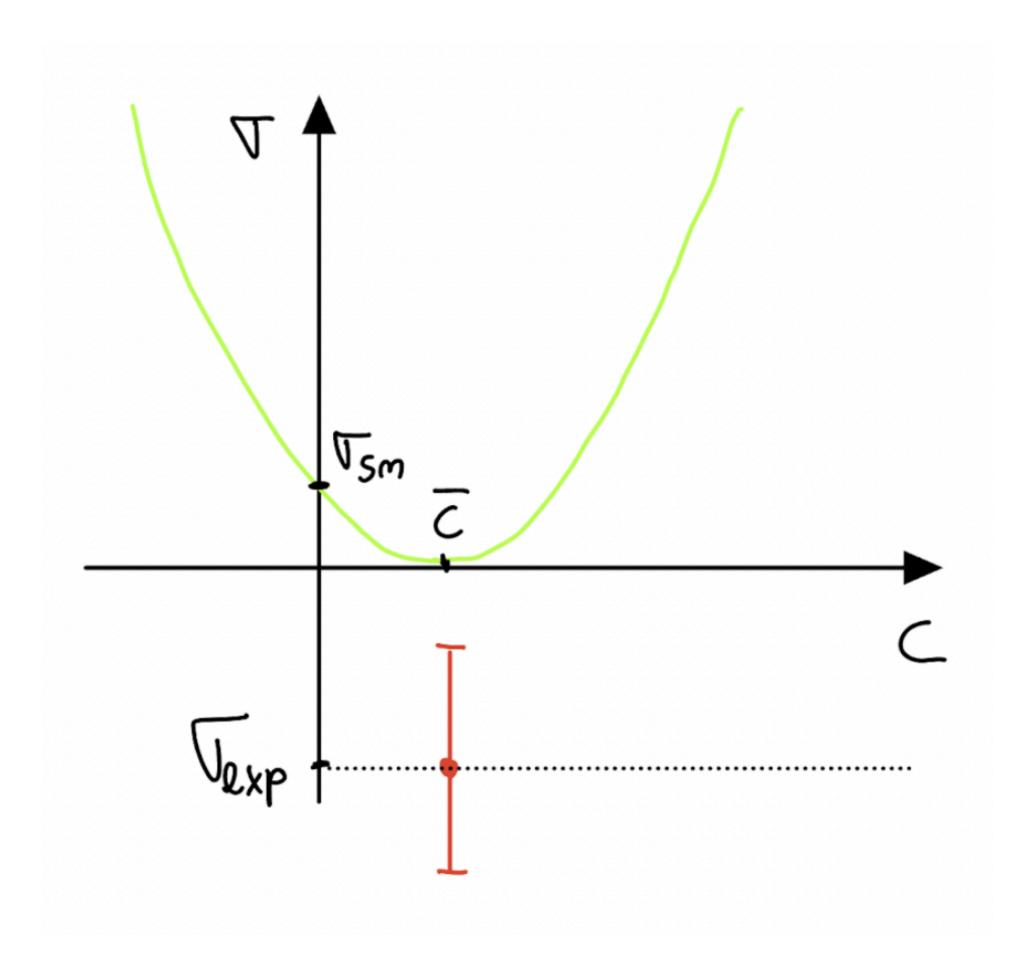


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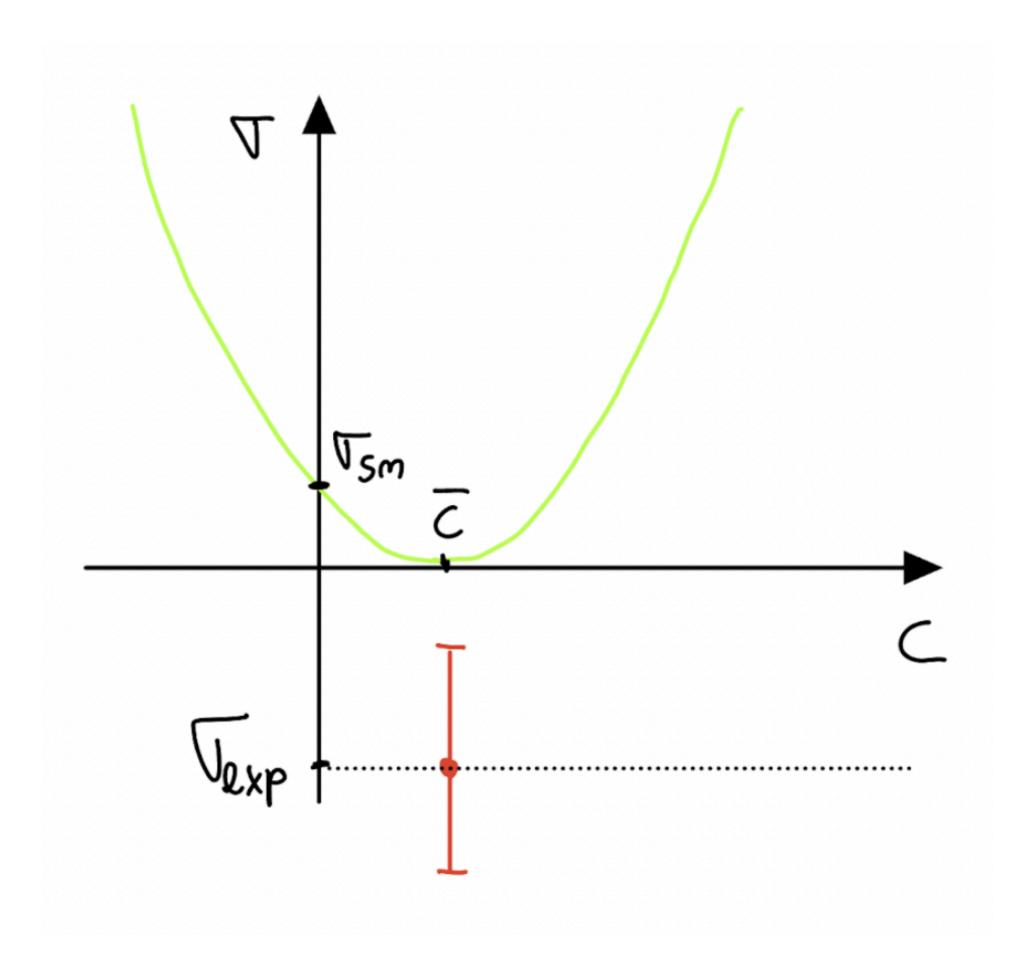


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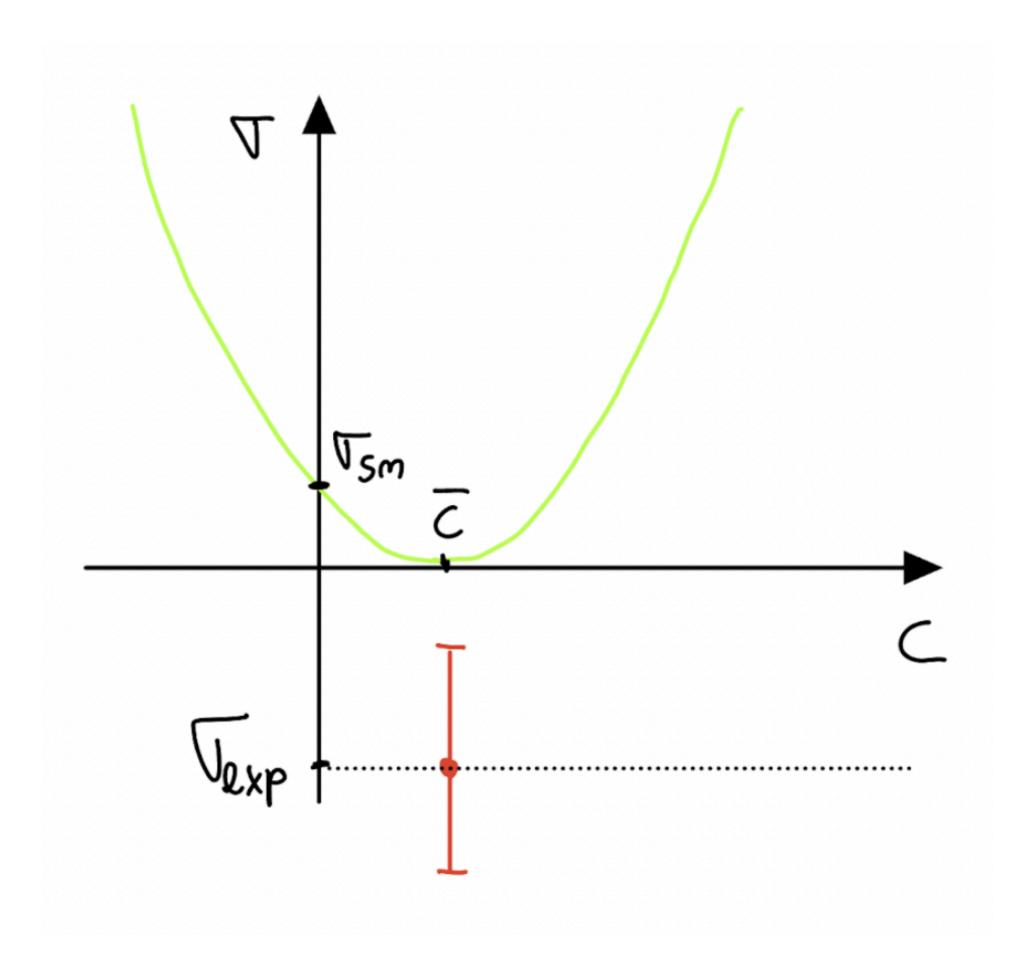
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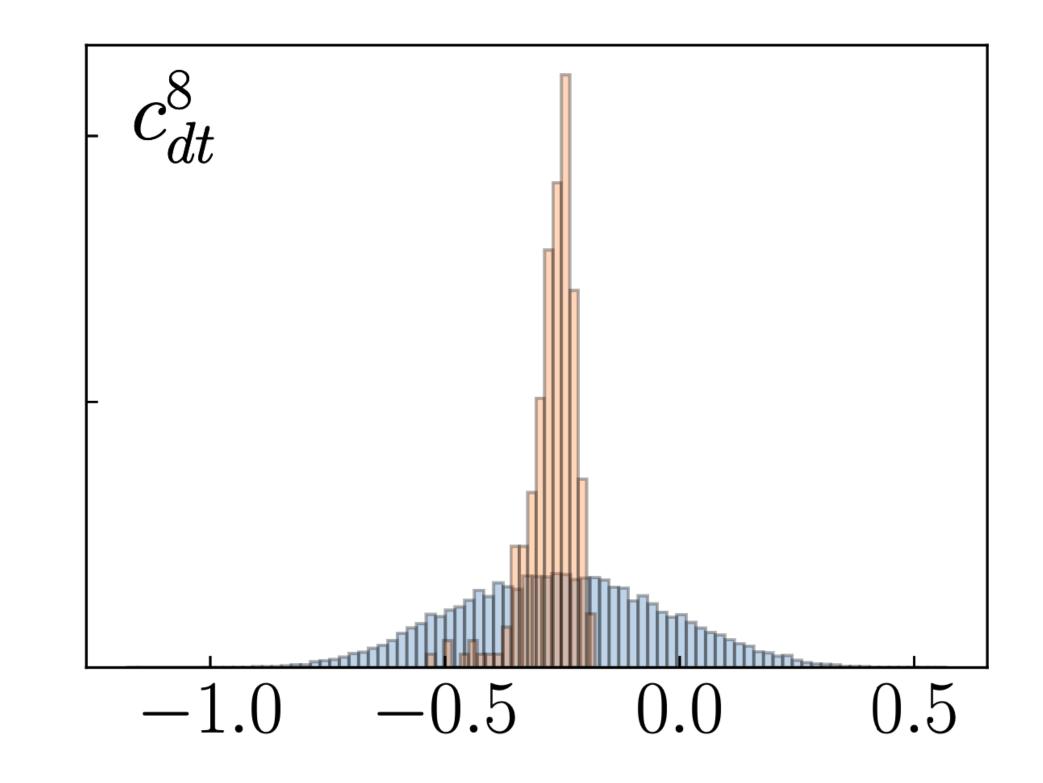
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- This occurs if the experimental data falls **below the minimum** of the theory, or **above but close** to the minimum.
- Any pseudodata replica that falls below the minimum results in the same parameter replica, corresponding to the parameter value that gives the minimum.
- This gives rise to the spike in the distribution at $c = -t^{\text{lin}}/2t^{\text{quad}}$

- method (orange) and a Bayesian method with uniform prior (blue).
- We see that Monte-Carlo massively underestimates uncertainties.



• These problems extend to our top fit... for example in a realistic quadratic fit of one operator c_{dt}^8 , we get the following comparison between the Monte-Carlo

Key questions for the future:

Can the MC replica method be modified to agree with Bayesian methods?

To what extent do existing fits (in the SMEFT world, PDF world, and beyond) that use the MC replica method underestimate uncertainties?





Conclusions

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important in future analyses (especially as we enter Run III).

2104.02723 showing the need for simultaneous extraction; (ii) a

Simultaneous determination of PDFs and BSM parameters, will be very

• Members of the **PBSP team** have already produced three works in the direction of simultaneous PDF-SMEFT fits: (i) a phenomenological study methodology (SimuNET, 2201.07240) capable of fast simultaneous fitting; (iii) a comprehensive simultaneous extraction of PDFs and SMEFT couplings from the full LHC Run II top dataset, 2303.06159.

Thanks for listening! Questions?